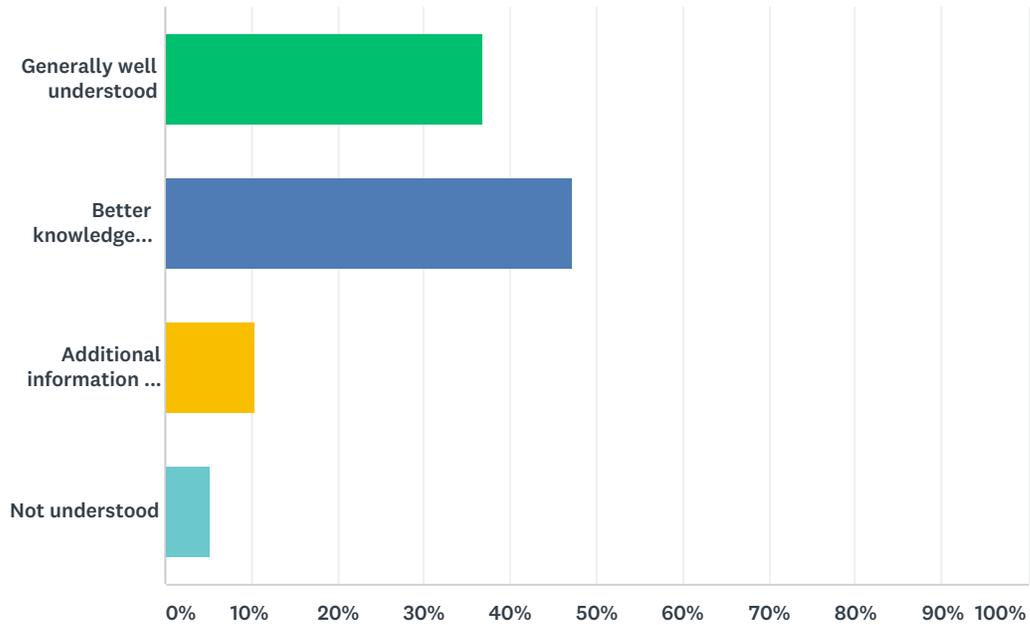


**Q1 Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.**

Answered: 25 Skipped: 0

## Q2 Is the VXDS concept well understood in your company?

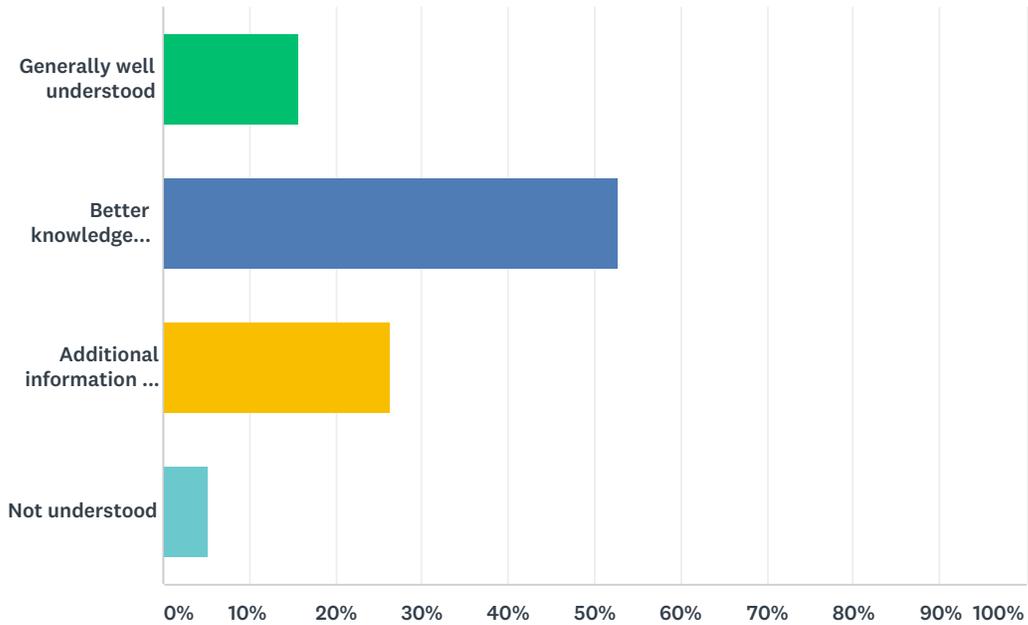
Answered: 19 Skipped: 6



| ANSWER CHOICES   | RESPONSES |
|--|-----------|
| Generally well understood  | 36.84% 7  |
| Better knowledge sharing and communication within the company needed | 47.37% 9  |
| Additional information and knowledge sharing from FDA recommended    | 10.53% 2  |
| Not understood   | 5.26% 1   |
| <b>TOTAL</b>   | <b>19</b> |

### Q3 Is the VXDS process well understood in your company?

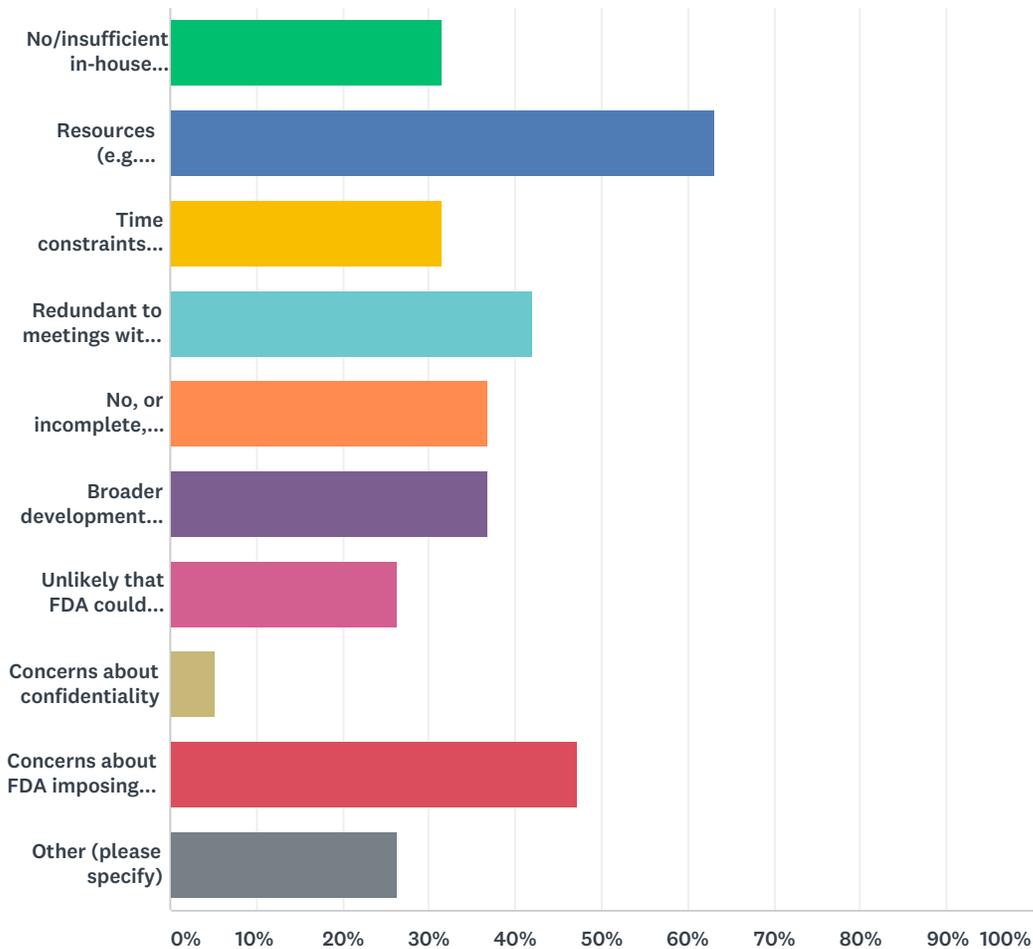
Answered: 19 Skipped: 6



| ANSWER CHOICES   | RESPONSES |           |
|--|-----------|-----------|
| Generally well understood  | 15.79%    | 3         |
| Better knowledge sharing and communication within the company needed | 52.63%    | 10        |
| Additional information and knowledge sharing from FDA recommended    | 26.32%    | 5         |
| Not understood   | 5.26%     | 1         |
| <b>TOTAL</b>   |           | <b>19</b> |

## Q4 What are key obstacles for engaging in VXDS meetings? (Select all that apply)

Answered: 19 Skipped: 6



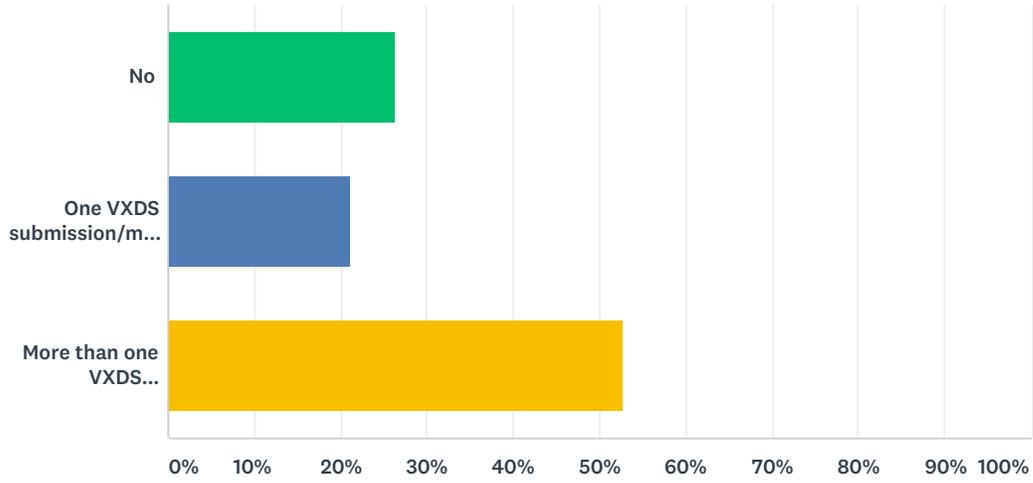
| ANSWER CHOICES  | RESPONSES |    |
|---|-----------|----|
| No/insufficient in-house experience   | 31.58%    | 6  |
| Resources (e.g. insufficient resource/manpower allocations, conflicting priorities)                   | 63.16%    | 12 |
| Time constraints (e.g. avoid delaying next step in development plan)                                  | 31.58%    | 6  |
| Redundant to meetings with reviewing Division (e.g. EOP2 Meeting)                                     | 42.11%    | 8  |
| No, or incomplete, data available for submission  | 36.84%    | 7  |
| Broader development and/or regulatory issues not enough discussed at VXDS meetings                    | 36.84%    | 7  |
| Unlikely that FDA could provide answers to scientific questions related to in-house research projects | 26.32%    | 5  |
| Concerns about confidentiality  | 5.26%     | 1  |
| Concerns about FDA imposing more work/studies   | 47.37%    | 9  |
| Other (please specify)  | 26.32%    | 5  |

FDA VXDS/EMEA Briefing Meeting Feedback Form

Total Respondents: 19

## Q5 Did your company participate in FDA's VXDS program?

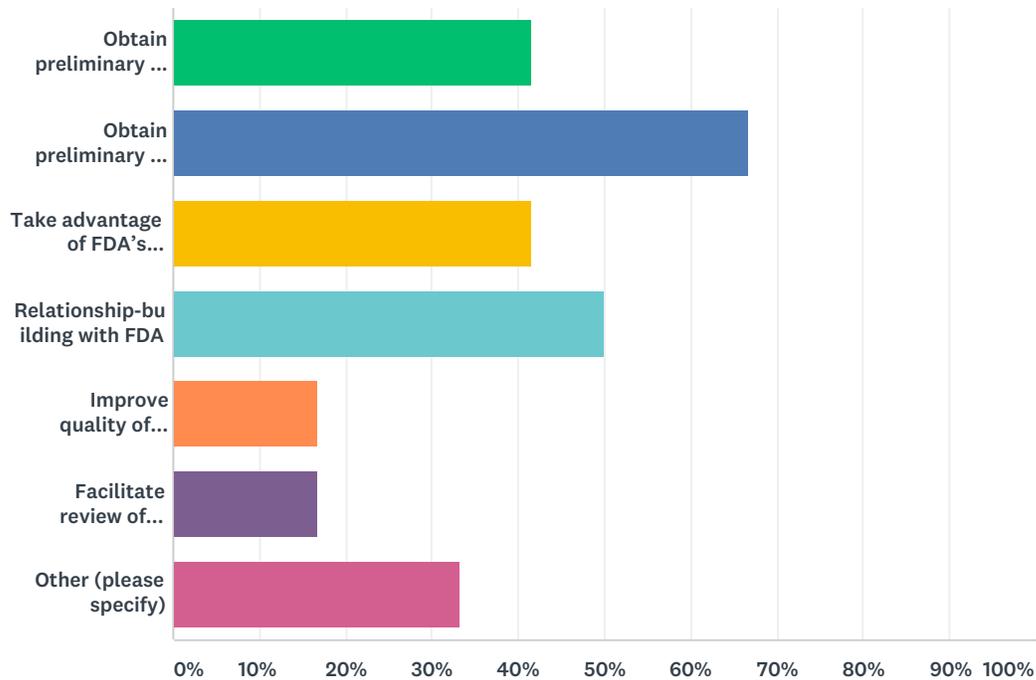
Answered: 19 Skipped: 6



| ANSWER CHOICES                        | RESPONSES |           |
|---------------------------------------|-----------|-----------|
| No                                    | 26.32%    | 5         |
| One VXDS submission/meeting           | 21.05%    | 4         |
| More than one VXDS submission/meeting | 52.63%    | 10        |
| <b>TOTAL</b>                          |           | <b>19</b> |

## Q6 What are key incentives in your company for engaging in VXDS meeting? (Select 2)

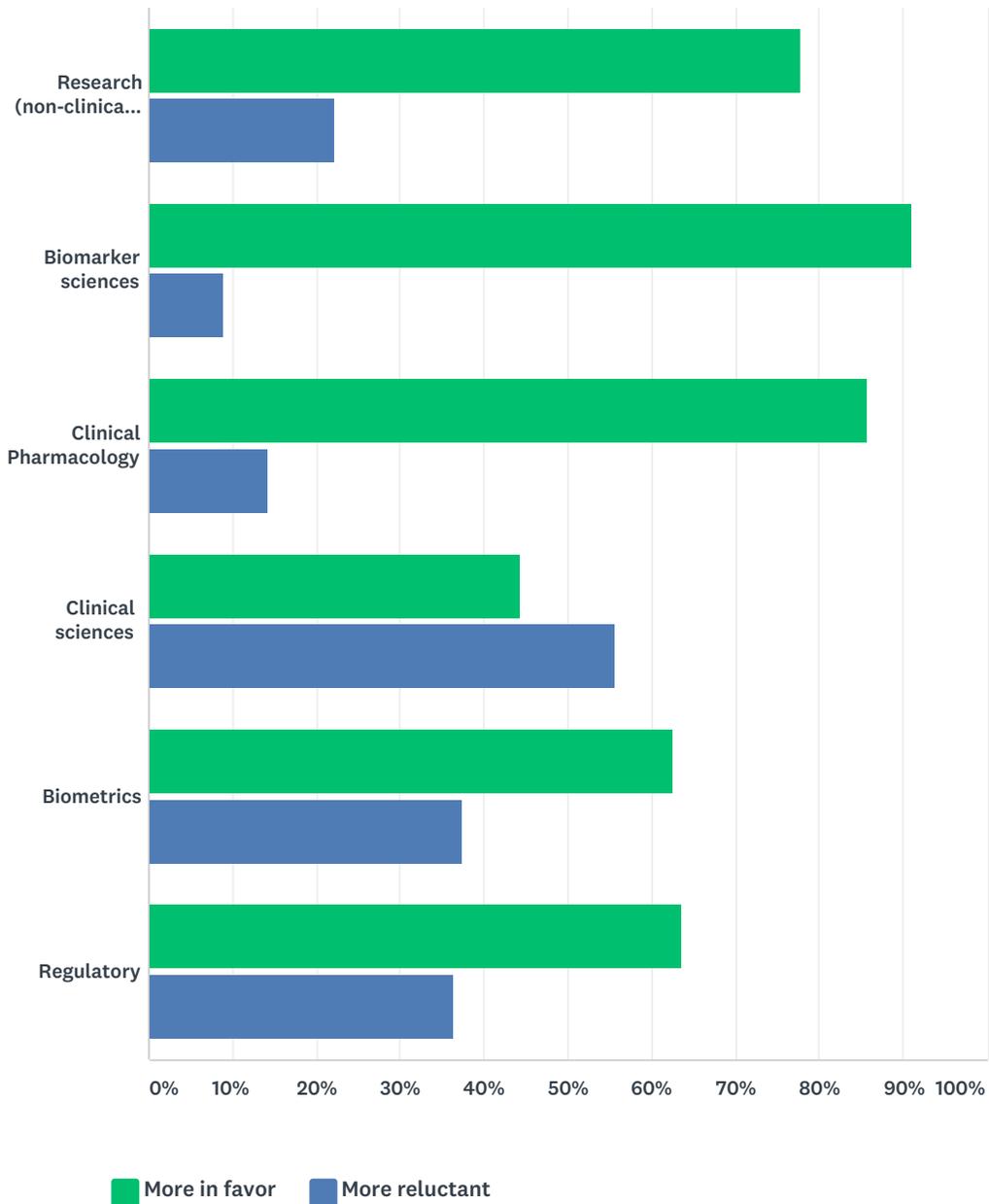
Answered: 12 Skipped: 13



| ANSWER CHOICES  | RESPONSES |   |
|---|-----------|---|
| Obtain preliminary FDA feedback on scientific issues        | 41.67%    | 5 |
| Obtain preliminary FDA feedback on broad development issues | 66.67%    | 8 |
| Take advantage of FDA's knowledge across projects           | 41.67%    | 5 |
| Relationship-building with FDA                              | 50.00%    | 6 |
| Improve quality of future IND/NDA submissions               | 16.67%    | 2 |
| Facilitate review of future IND/NDA submissions             | 16.67%    | 2 |
| Other (please specify)                                      | 33.33%    | 4 |
| Total Respondents: 12                                       |           |   |

## Q7 What company functions are especially in favor of - or reluctant to - VXDS meetings?

Answered: 11 Skipped: 14



|  | MORE IN FAVOR | MORE RELUCTANT | TOTAL |
|--|---------------|----------------|-------|
| Research (non-clinical pharmacology/ toxicology) | 77.78%<br>7   | 22.22%<br>2    | 9     |
| Biomarker sciences                               | 90.91%<br>10  | 9.09%<br>1     | 11    |
| Clinical Pharmacology                            | 85.71%<br>6   | 14.29%<br>1    | 7     |
| Clinical sciences                                | 44.44%<br>4   | 55.56%<br>5    | 9     |

## FDA VXDS/EMEA Briefing Meeting Feedback Form

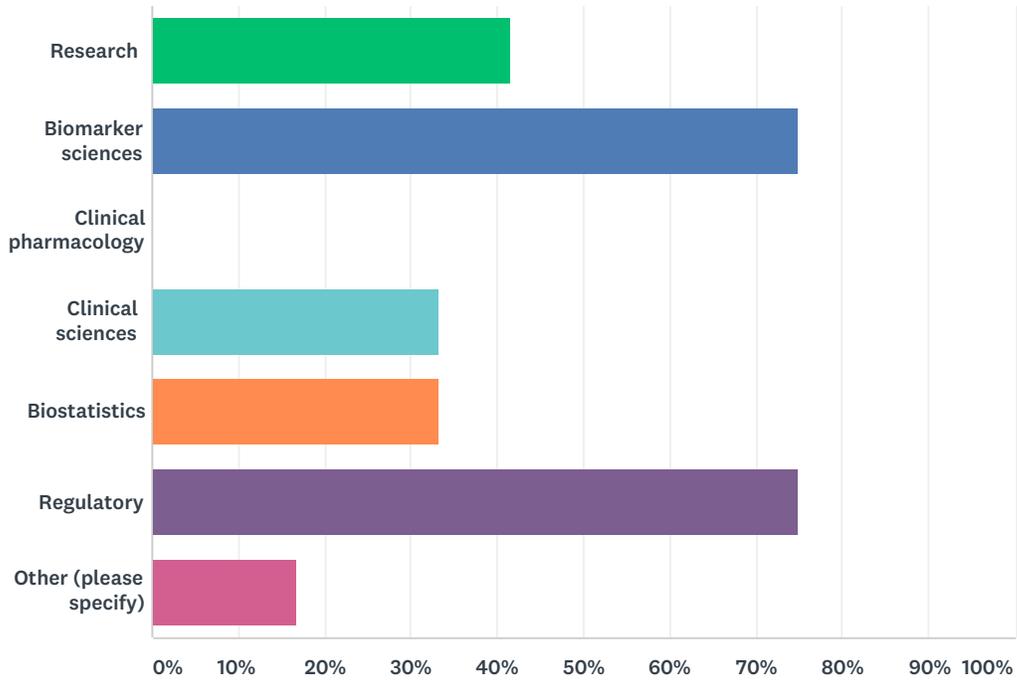
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|            |        |        |    |
|------------|--------|--------|----|
| Biometrics | 62.50% | 37.50% |    |
|            | 5      | 3      | 8  |
| Regulatory | 63.64% | 36.36% |    |
|            | 7      | 4      | 11 |

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### Q8 What functions are contributing the most to VXDS meetings? (Select up to 3 functions)

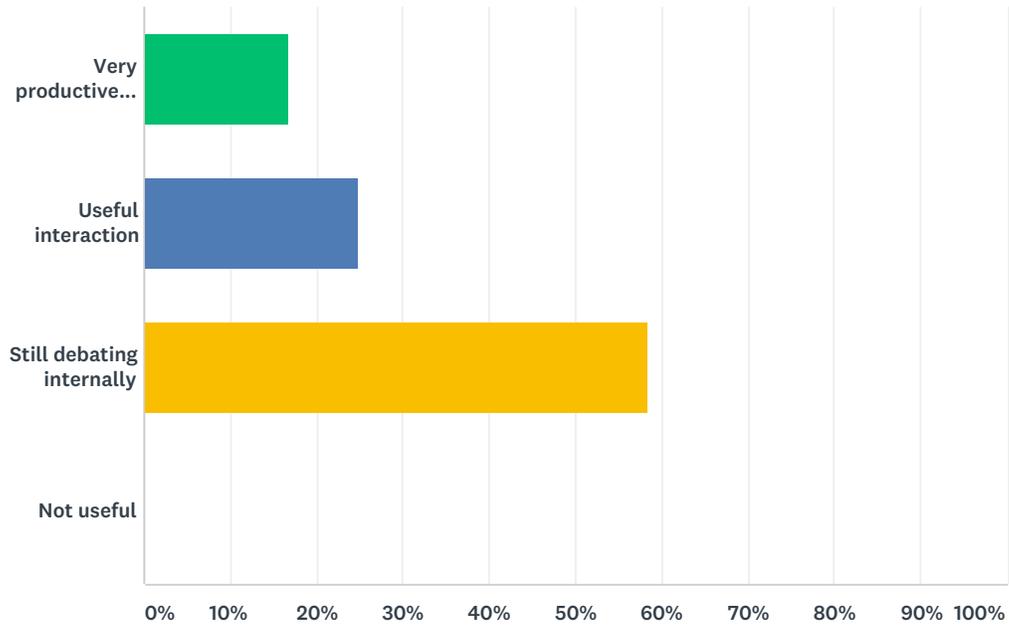
Answered: 12 Skipped: 13



| ANSWER CHOICES         | RESPONSES |   |
|------------------------|-----------|---|
| Research               | 41.67%    | 5 |
| Biomarker sciences     | 75.00%    | 9 |
| Clinical pharmacology  | 0.00%     | 0 |
| Clinical sciences      | 33.33%    | 4 |
| Biostatistics          | 33.33%    | 4 |
| Regulatory             | 75.00%    | 9 |
| Other (please specify) | 16.67%    | 2 |
| Total Respondents: 12  |           |   |

## Q9 How are VXDS meetings viewed by your company?

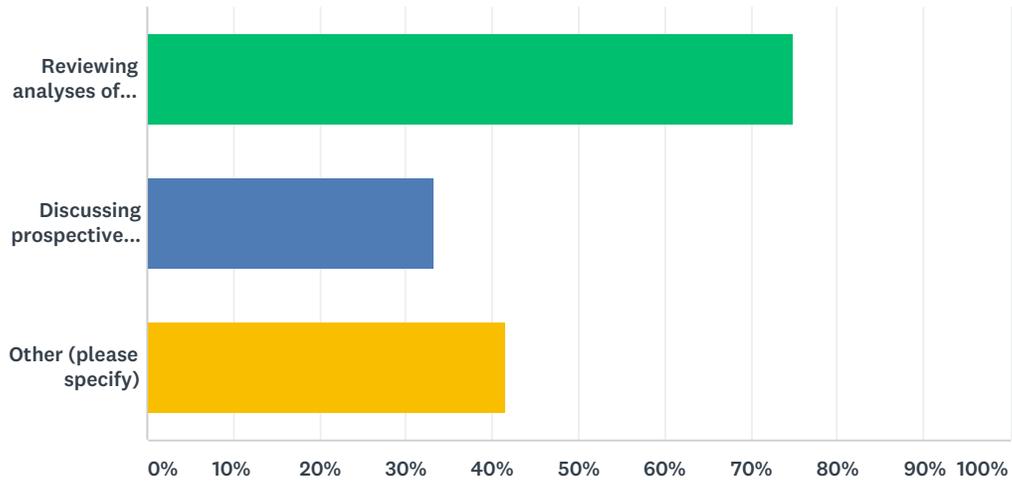
Answered: 12 Skipped: 13



| ANSWER CHOICES              | RESPONSES |           |
|-----------------------------|-----------|-----------|
| Very productive interaction | 16.67%    | 2         |
| Useful interaction          | 25.00%    | 3         |
| Still debating internally   | 58.33%    | 7         |
| Not useful                  | 0.00%     | 0         |
| <b>TOTAL</b>                |           | <b>12</b> |

### Q10 Have your VXDS focused on (select up to two 2 focus areas)

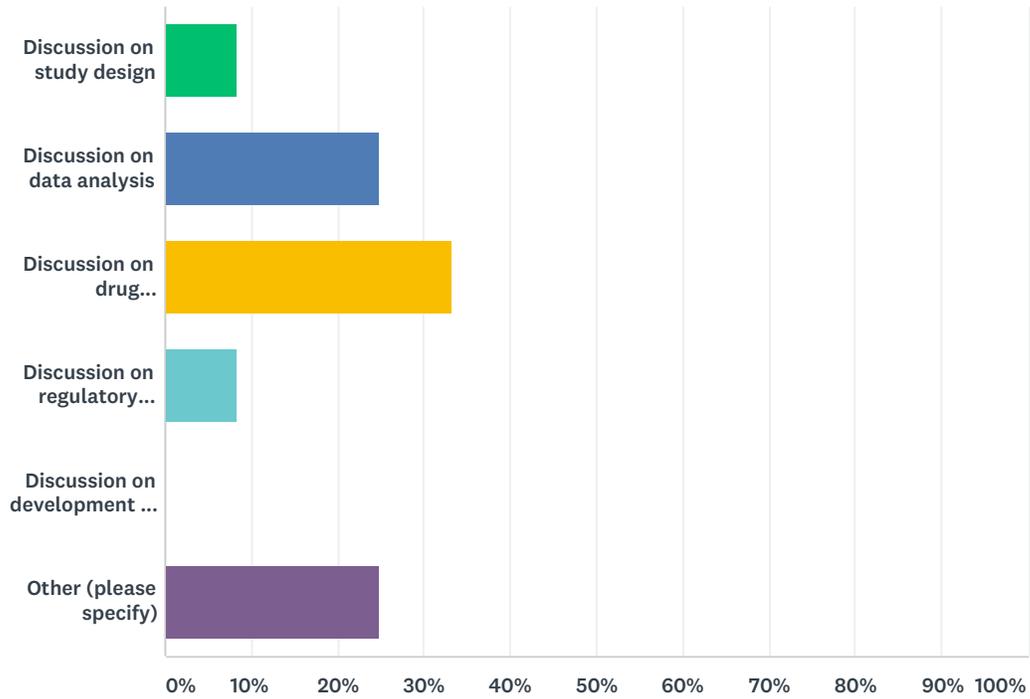
Answered: 12 Skipped: 13



| ANSWER CHOICES                                 | RESPONSES |   |
|--|-----------|---|
| Reviewing analyses of previous studies         | 75.00%    | 9 |
| Discussing prospective biomarker study designs | 33.33%    | 4 |
| Other (please specify)                         | 41.67%    | 5 |
| Total Respondents: 12                          |           |   |

## Q11 What has been the main value of VXDS to your company to date?

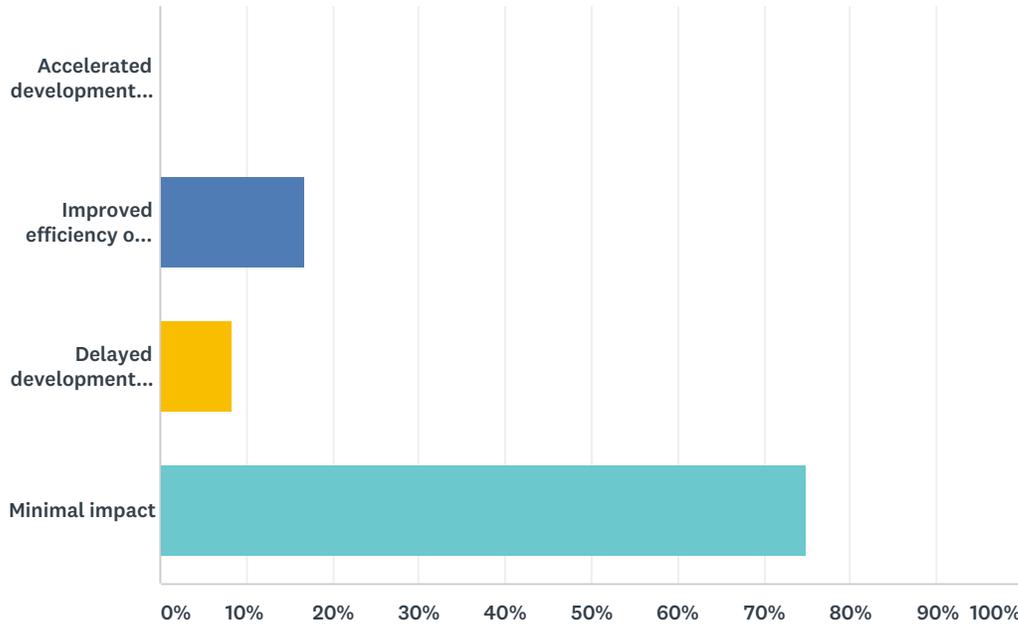
Answered: 12 Skipped: 13



| ANSWER CHOICES                               | RESPONSES |           |
|--|-----------|-----------|
| Discussion on study design                   | 8.33%     | 1         |
| Discussion on data analysis                  | 25.00%    | 3         |
| Discussion on drug development program       | 33.33%    | 4         |
| Discussion on regulatory submission path     | 8.33%     | 1         |
| Discussion on development of diagnostic test | 0.00%     | 0         |
| Other (please specify)                       | 25.00%    | 3         |
| <b>TOTAL</b>                                 |           | <b>12</b> |

## Q12 How did the VXDS process influence the development program of your product?

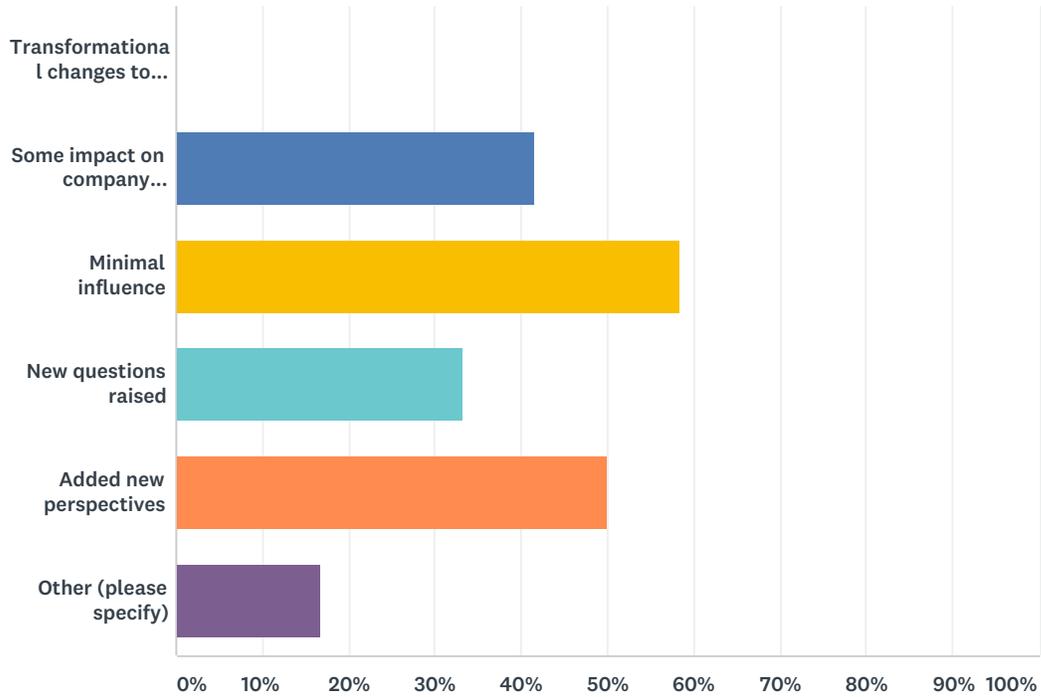
Answered: 12 Skipped: 13



| ANSWER CHOICES                             | RESPONSES |
|--|-----------|
| Accelerated development program            | 0.00% 0   |
| Improved efficiency of development program | 16.67% 2  |
| Delayed development program                | 8.33% 1   |
| Minimal impact                             | 75.00% 9  |
| <b>TOTAL</b>                               | <b>12</b> |

### Q13 How did the VXDS process influence other projects or general company practices? (Select all that apply)

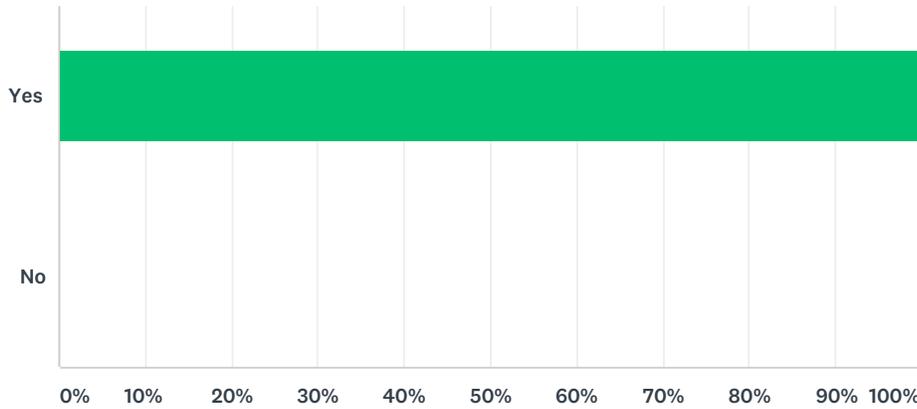
Answered: 12 Skipped: 13



| ANSWER CHOICES                                  | RESPONSES |   |
|---|-----------|---|
| Transformational changes to company practice(s) | 0.00%     | 0 |
| Some impact on company practices                | 41.67%    | 5 |
| Minimal influence                               | 58.33%    | 7 |
| New questions raised                            | 33.33%    | 4 |
| Added new perspectives                          | 50.00%    | 6 |
| Other (please specify)                          | 16.67%    | 2 |
| Total Respondents: 12                           |           |   |

### Q14 Would your company consider submitting a VXDS request jointly with one or more technology and/or clinical partners or other Sponsors?

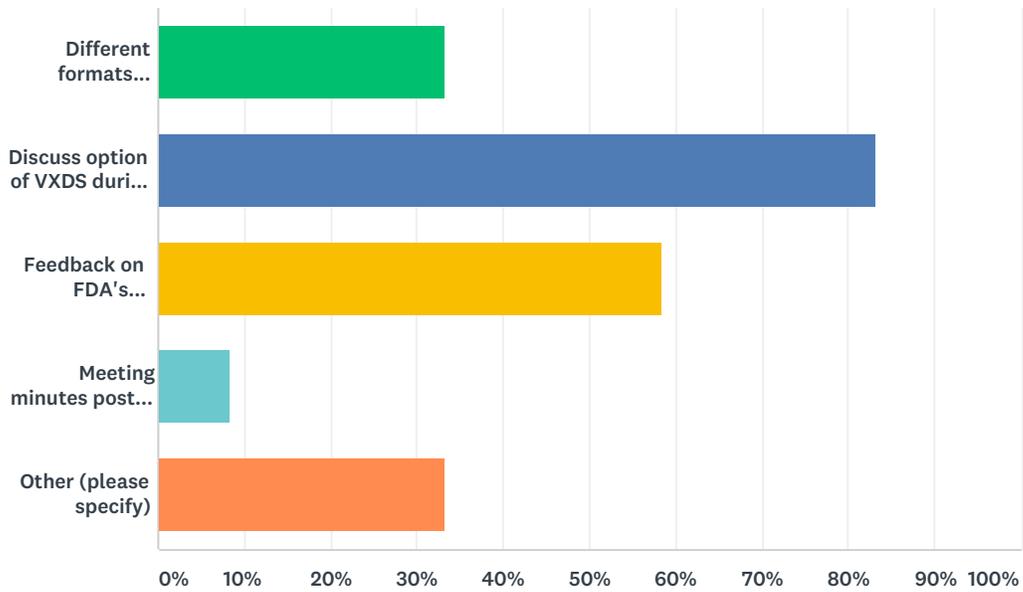
Answered: 10 Skipped: 15



| ANSWER CHOICES | RESPONSES |    |
|----------------|-----------|----|
| Yes            | 100.00%   | 10 |
| No             | 0.00%     | 0  |
| TOTAL          |           | 10 |

## Q15 What could be done to enhance the interest in VXDS in your company (Select all that apply)

Answered: 12 Skipped: 13



| ANSWER CHOICES  | RESPONSES |    |
|---|-----------|----|
| Different formats allowed: VC/net meeting, webinars instead of traditional face-to-face | 33.33%    | 4  |
| Discuss option of VXDS during routine Agency interactions with reviewing division       | 83.33%    | 10 |
| Feedback on FDA's advice/experience more routinely/widely available                     | 58.33%    | 7  |
| Meeting minutes posted (redacted) on FDA website  | 8.33%     | 1  |
| Other (please specify)  | 33.33%    | 4  |
| Total Respondents: 12   |           |    |

## Q16 How can benefits of VXDS meetings be maximized?

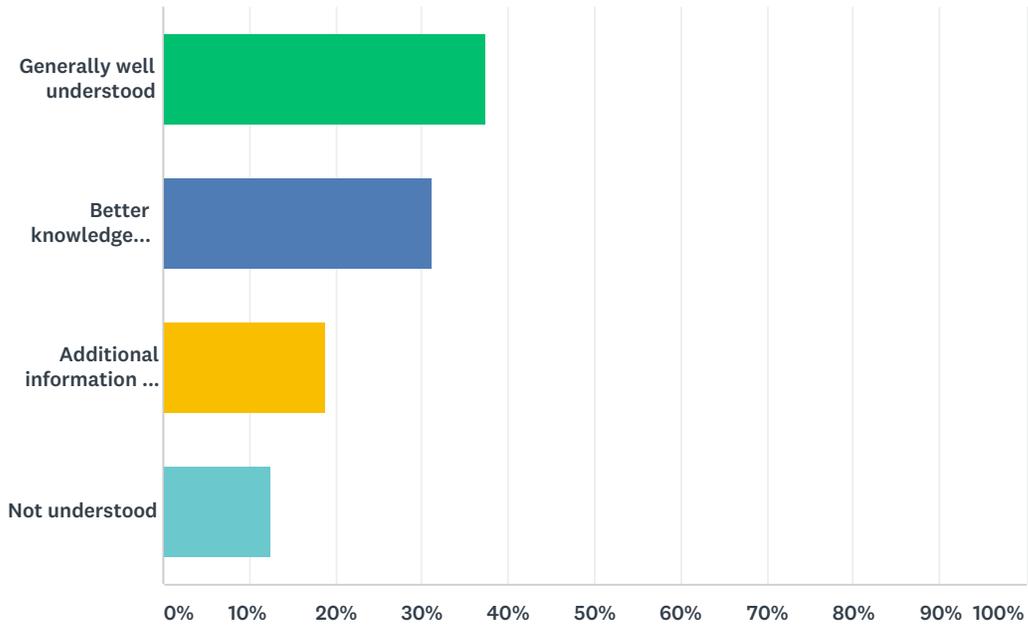
Answered: 9 Skipped: 16

**Q17 What would be good additions/modifications to VXDS meetings in the future?**

Answered: 6 Skipped: 19

## Q18 Is the Briefing Meeting concept well understood in your company?

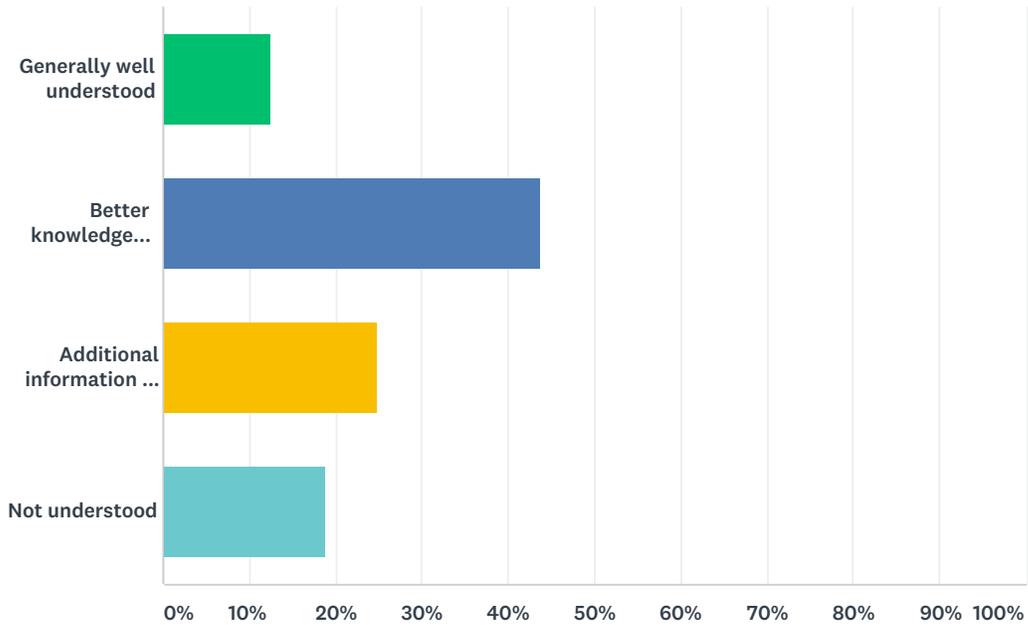
Answered: 16 Skipped: 9



| ANSWER CHOICES   | RESPONSES |
|--|-----------|
| Generally well understood  | 37.50% 6  |
| Better knowledge sharing and communication within the company needed | 31.25% 5  |
| Additional information and knowledge sharing from EMEA recommended   | 18.75% 3  |
| Not understood   | 12.50% 2  |
| <b>TOTAL</b>   | <b>16</b> |

## Q19 Is the Briefing Meeting process well understood in your company?

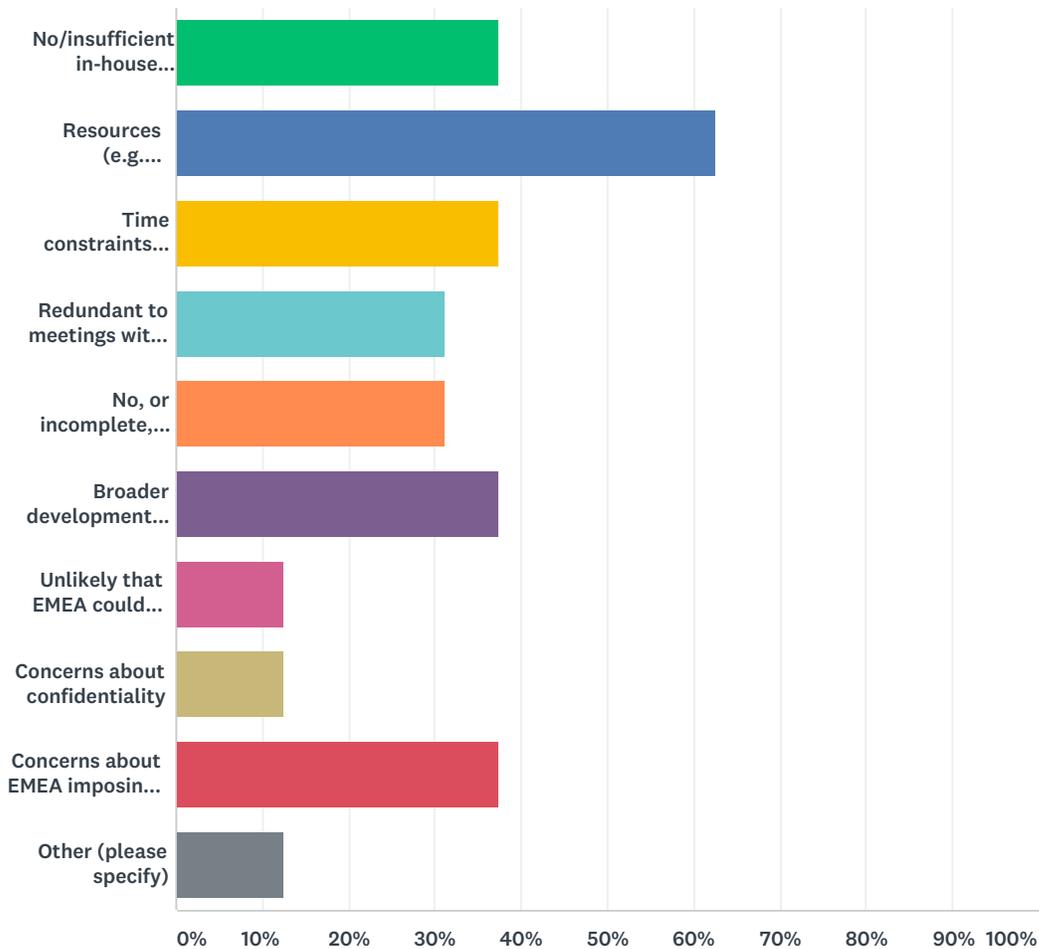
Answered: 16 Skipped: 9



| ANSWER CHOICES   | RESPONSES |           |
|--|-----------|-----------|
| Generally well understood  | 12.50%    | 2         |
| Better knowledge sharing and communication within the company needed | 43.75%    | 7         |
| Additional information and knowledge sharing from EMEA recommended   | 25.00%    | 4         |
| Not understood   | 18.75%    | 3         |
| <b>TOTAL</b>   |           | <b>16</b> |

## Q20 What are key obstacles for engaging in Briefing meetings? (Select all that apply)

Answered: 16 Skipped: 9



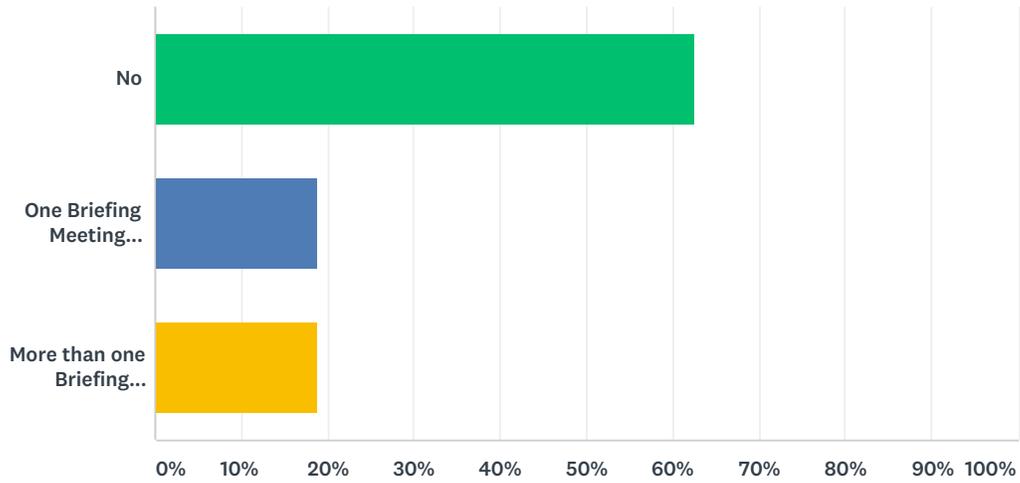
| ANSWER CHOICES   | RESPONSES |    |
|--|-----------|----|
| No/insufficient in-house experience  | 37.50%    | 6  |
| Resources (e.g. insufficient resource/manpower allocations, conflicting priorities)                    | 62.50%    | 10 |
| Time constraints (e.g. avoid delaying next step in development plan)                                   | 37.50%    | 6  |
| Redundant to meetings within formal procedures (e.g. Scientific Advice)                                | 31.25%    | 5  |
| No, or incomplete, data available for submission   | 31.25%    | 5  |
| Broader development and/or regulatory issues not enough discussed at Briefing meetings                 | 37.50%    | 6  |
| Unlikely that EMEA could provide answers to scientific questions related to in-house research projects | 12.50%    | 2  |
| Concerns about confidentiality   | 12.50%    | 2  |
| Concerns about EMEA imposing more work/studies   | 37.50%    | 6  |
| Other (please specify)   | 12.50%    | 2  |

# FDA VXDS/EMEA Briefing Meeting Feedback Form

Total Respondents: 16

## Q21 Did your company participate in EMEA's Briefing meetings?

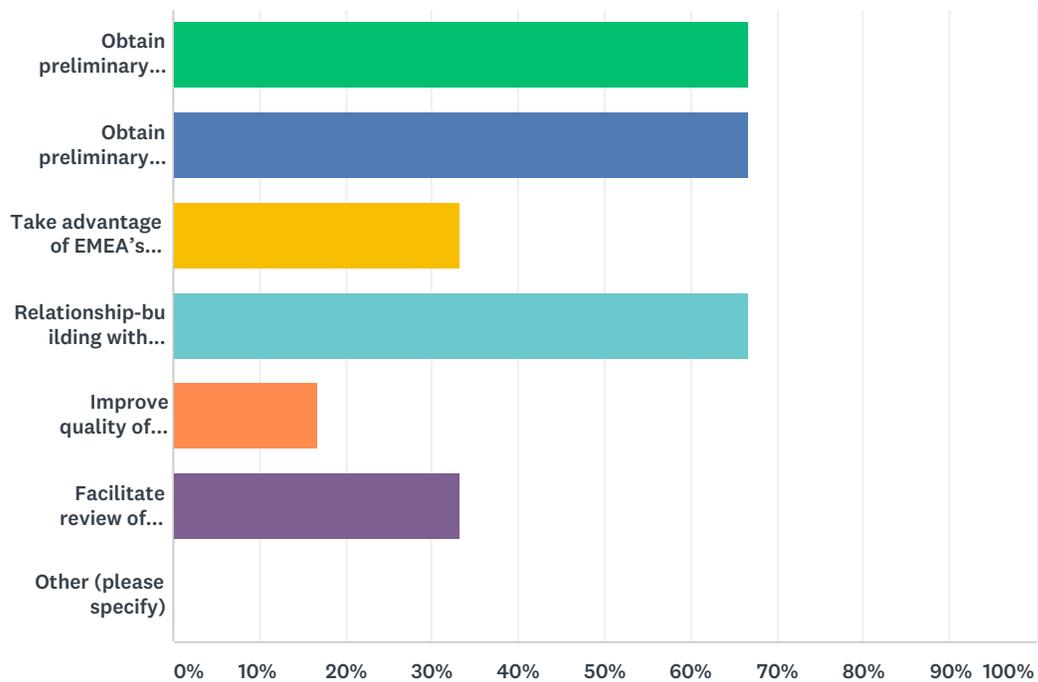
Answered: 16 Skipped: 9



| ANSWER CHOICES                                    | RESPONSES |           |
|---|-----------|-----------|
| No  | 62.50%    | 10        |
| One Briefing Meeting submission/meeting           | 18.75%    | 3         |
| More than one Briefing Meeting submission/meeting | 18.75%    | 3         |
| <b>TOTAL</b>                                      |           | <b>16</b> |

## Q22 What are key incentives in your company for engaging in Briefing Meetings? (Select 2)

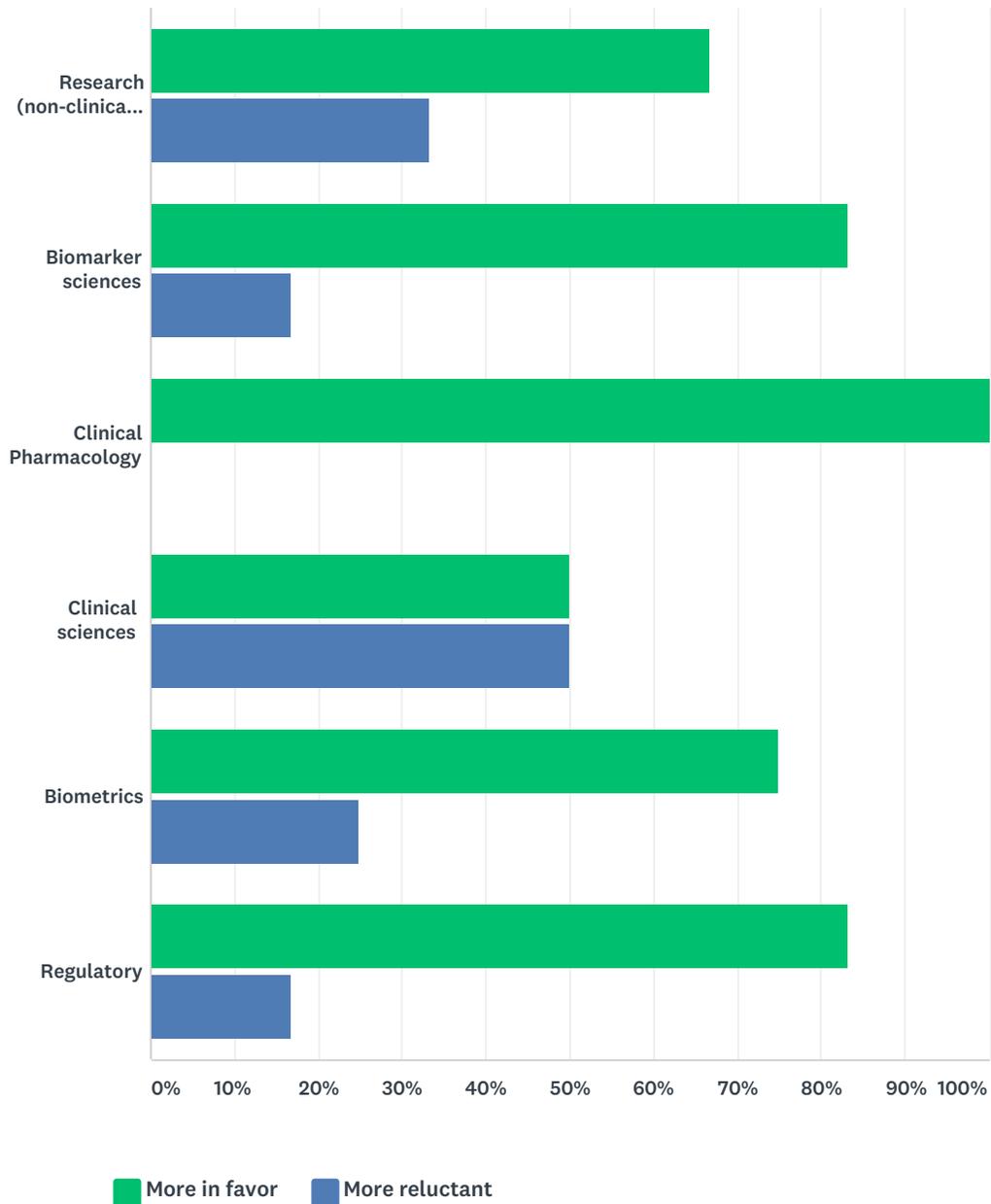
Answered: 6 Skipped: 19



| ANSWER CHOICES   | RESPONSES |   |
|--|-----------|---|
| Obtain preliminary EMEA feedback on scientific issues        | 66.67%    | 4 |
| Obtain preliminary EMEA feedback on broad development issues | 66.67%    | 4 |
| Take advantage of EMEA's knowledge across projects           | 33.33%    | 2 |
| Relationship-building with EMEA                              | 66.67%    | 4 |
| Improve quality of future formal submissions                 | 16.67%    | 1 |
| Facilitate review of future formal submissions               | 33.33%    | 2 |
| Other (please specify)                                       | 0.00%     | 0 |
| Total Respondents: 6   |           |   |

## Q23 What company functions are especially in favor of - or reluctant to - Briefing Meetings?

Answered: 6 Skipped: 19



|  | MORE IN FAVOR | MORE RELUCTANT | TOTAL |
|--|---------------|----------------|-------|
| Research (non-clinical pharmacology/ toxicology) | 66.67%<br>2   | 33.33%<br>1    | 3     |
| Biomarker sciences                               | 83.33%<br>5   | 16.67%<br>1    | 6     |
| Clinical Pharmacology                            | 100.00%<br>2  | 0.00%<br>0     | 2     |
| Clinical sciences                                | 50.00%<br>2   | 50.00%<br>2    | 4     |

## FDA VXDS/EMEA Briefing Meeting Feedback Form

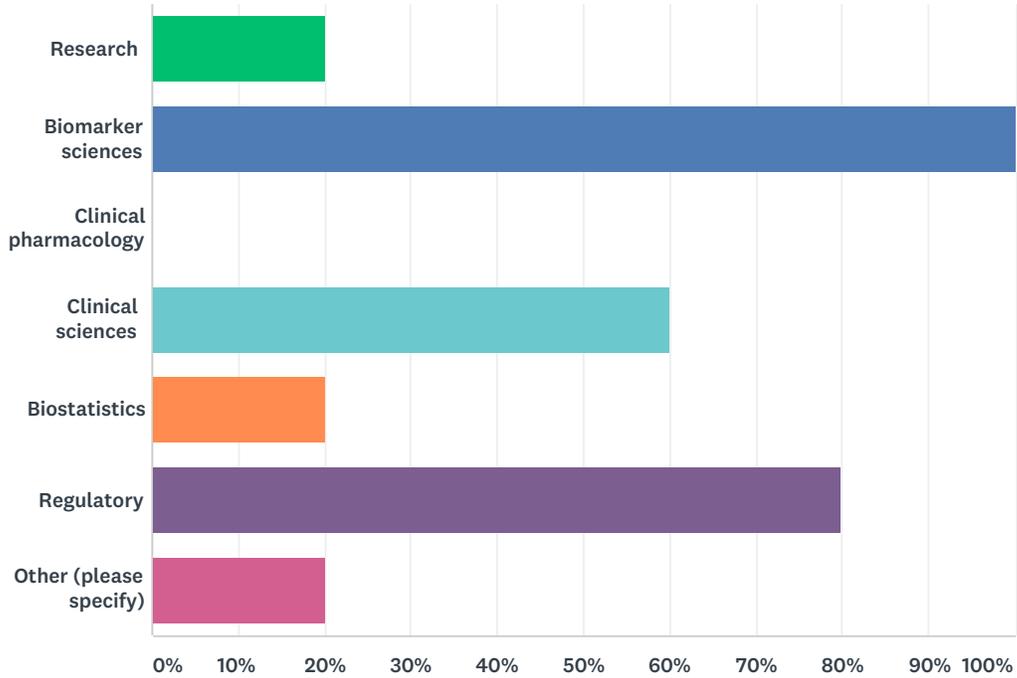
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|            |        |        |   |
|------------|--------|--------|---|
| Biometrics | 75.00% | 25.00% |   |
|            | 3      | 1      | 4 |
| Regulatory | 83.33% | 16.67% |   |
|            | 5      | 1      | 6 |

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## Q24 What functions are contributing the most to Briefing Meetings? (Select up to 3 functions)

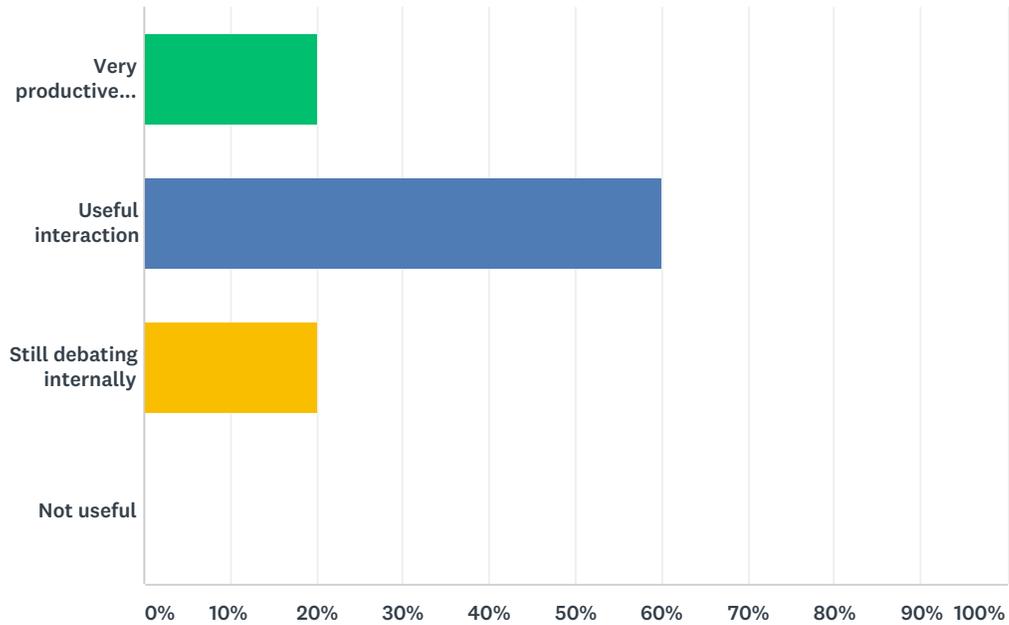
Answered: 5 Skipped: 20



| ANSWER CHOICES         | RESPONSES |   |
|------------------------|-----------|---|
| Research               | 20.00%    | 1 |
| Biomarker sciences     | 100.00%   | 5 |
| Clinical pharmacology  | 0.00%     | 0 |
| Clinical sciences      | 60.00%    | 3 |
| Biostatistics          | 20.00%    | 1 |
| Regulatory             | 80.00%    | 4 |
| Other (please specify) | 20.00%    | 1 |
| Total Respondents: 5   |           |   |

## Q25 How are Briefing Meetings viewed by your company?

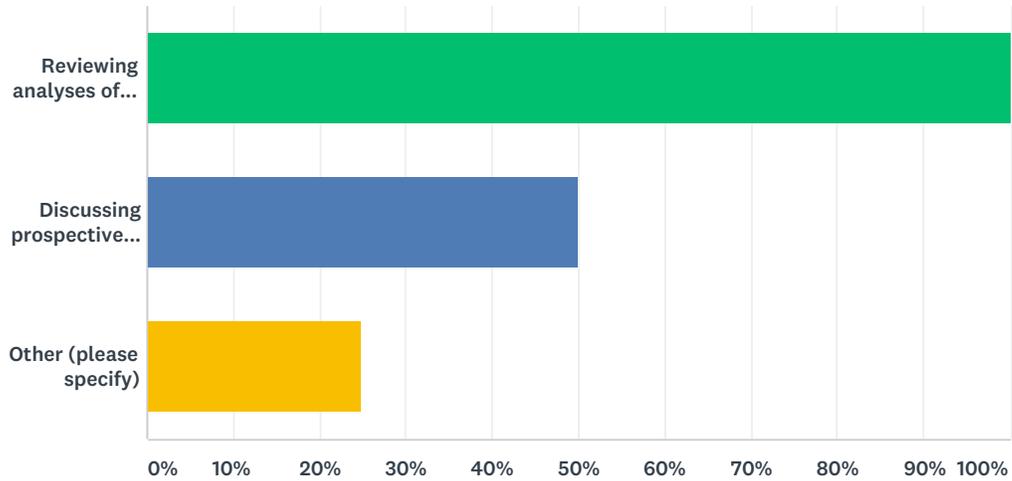
Answered: 5 Skipped: 20



| ANSWER CHOICES              | RESPONSES |          |
|-----------------------------|-----------|----------|
| Very productive interaction | 20.00%    | 1        |
| Useful interaction          | 60.00%    | 3        |
| Still debating internally   | 20.00%    | 1        |
| Not useful                  | 0.00%     | 0        |
| <b>TOTAL</b>                |           | <b>5</b> |

## Q26 Have your Briefing Meeting focused on (select up to two 2 focus areas)

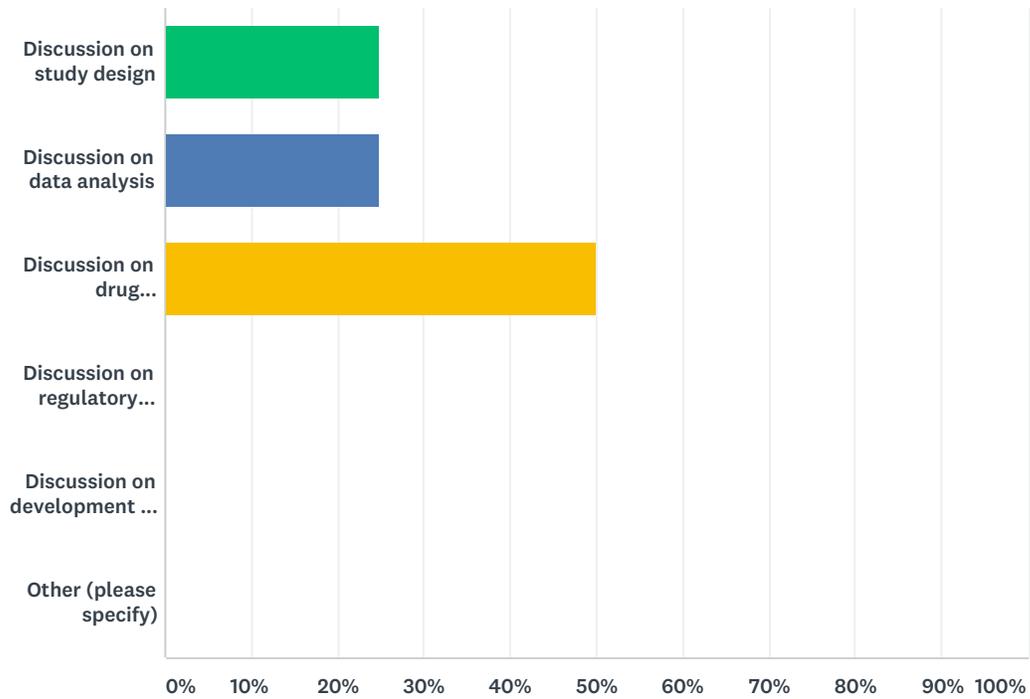
Answered: 4 Skipped: 21



| ANSWER CHOICES                                 | RESPONSES |
|--|-----------|
| Reviewing analyses of previous studies         | 100.00% 4 |
| Discussing prospective biomarker study designs | 50.00% 2  |
| Other (please specify)                         | 25.00% 1  |
| Total Respondents: 4                           |           |

## Q27 What has been the main value of Briefing Meeting to your company to date?

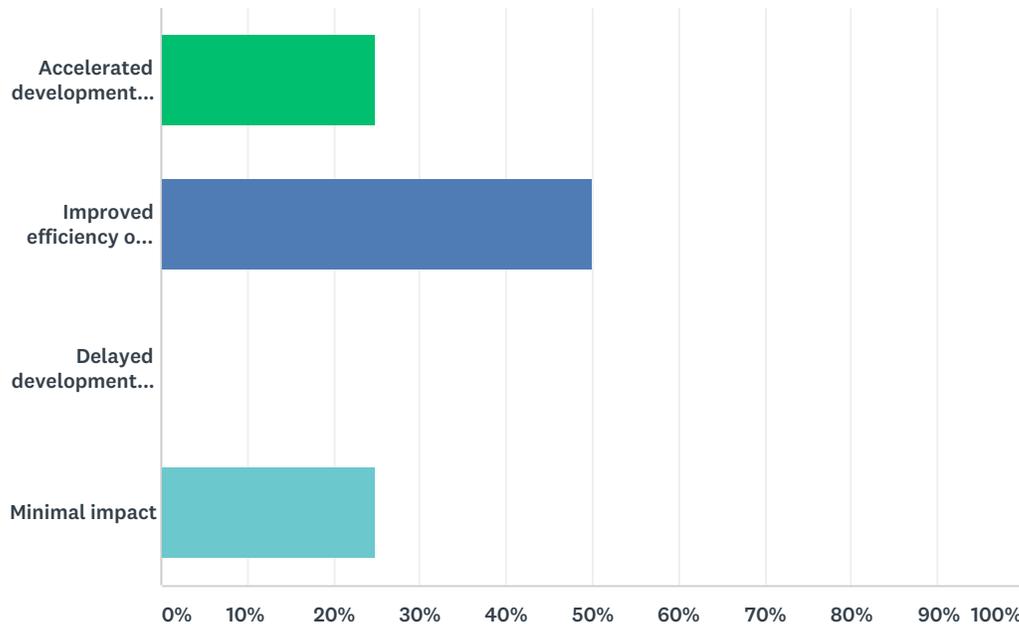
Answered: 4 Skipped: 21



| ANSWER CHOICES                               | RESPONSES |          |
|--|-----------|----------|
| Discussion on study design                   | 25.00%    | 1        |
| Discussion on data analysis                  | 25.00%    | 1        |
| Discussion on drug development program       | 50.00%    | 2        |
| Discussion on regulatory submission path     | 0.00%     | 0        |
| Discussion on development of diagnostic test | 0.00%     | 0        |
| Other (please specify)                       | 0.00%     | 0        |
| <b>TOTAL</b>                                 |           | <b>4</b> |

## Q28 How did the Briefing Meeting process influence the development program of your product?

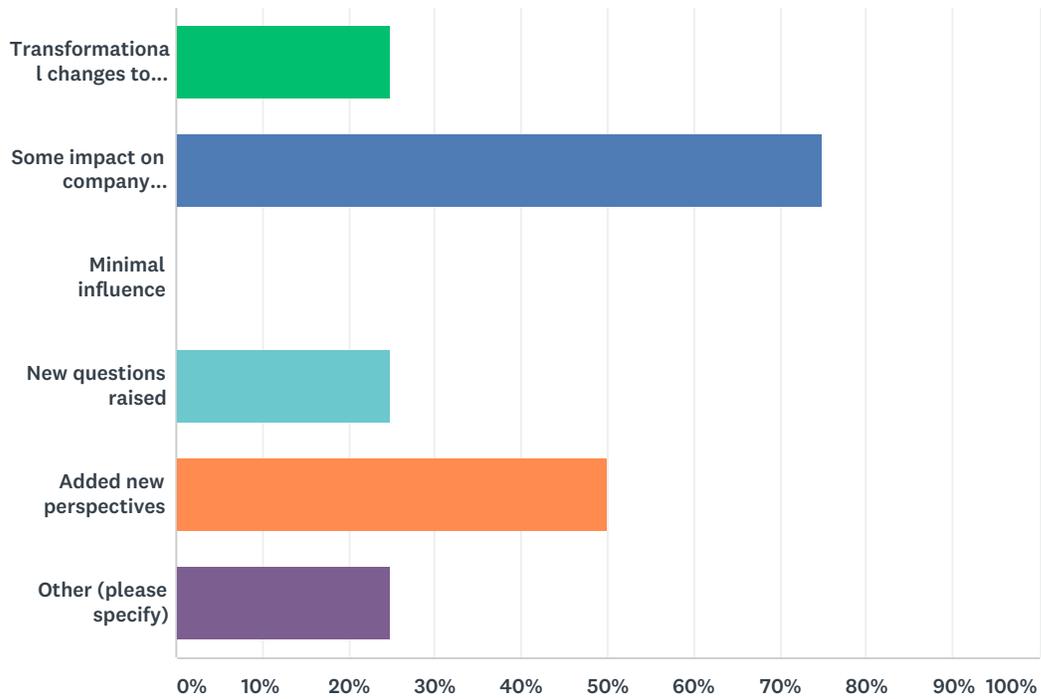
Answered: 4 Skipped: 21



| ANSWER CHOICES                             | RESPONSES |          |
|--|-----------|----------|
| Accelerated development program            | 25.00%    | 1        |
| Improved efficiency of development program | 50.00%    | 2        |
| Delayed development program                | 0.00%     | 0        |
| Minimal impact                             | 25.00%    | 1        |
| <b>TOTAL</b>                               |           | <b>4</b> |

## Q29 How did the Briefing Meeting process influence other projects or general company practices? (Select all that apply)

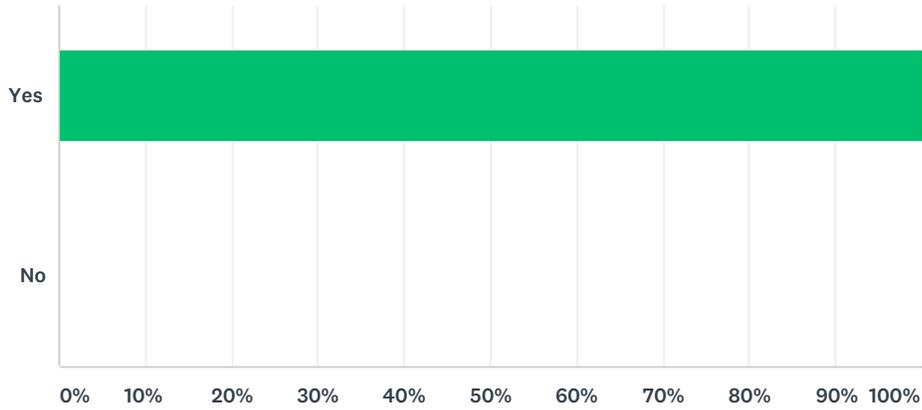
Answered: 4 Skipped: 21



| ANSWER CHOICES                                  | RESPONSES |   |
|---|-----------|---|
| Transformational changes to company practice(s) | 25.00%    | 1 |
| Some impact on company practices                | 75.00%    | 3 |
| Minimal influence                               | 0.00%     | 0 |
| New questions raised                            | 25.00%    | 1 |
| Added new perspectives                          | 50.00%    | 2 |
| Other (please specify)                          | 25.00%    | 1 |
| Total Respondents: 4                            |           |   |

### Q30 Would your company consider submitting a Briefing Meeting request jointly with one or more technology and/or clinical partners or other Sponsors?

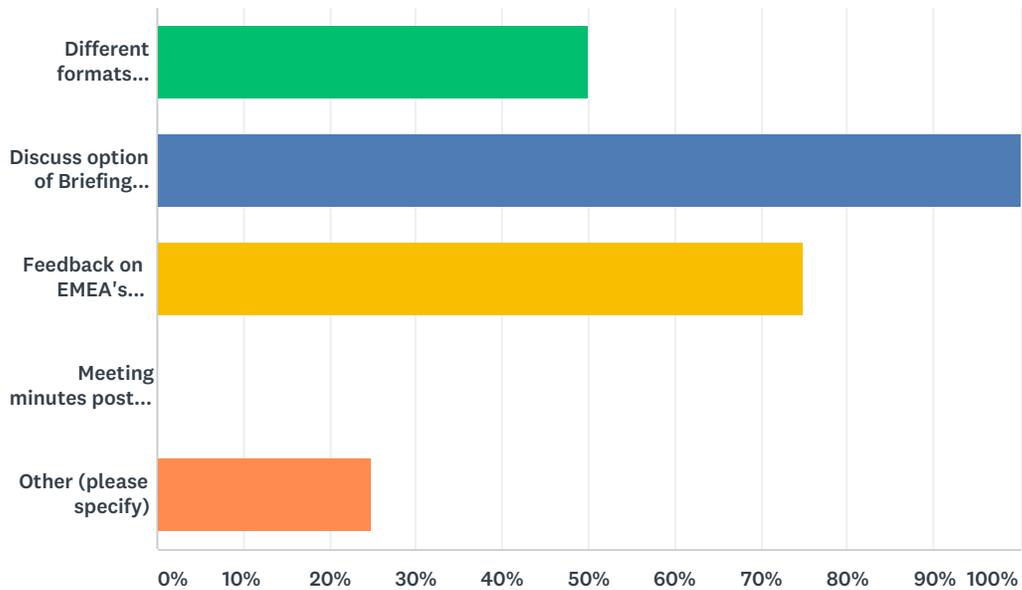
Answered: 3 Skipped: 22



| ANSWER CHOICES | RESPONSES |   |
|----------------|-----------|---|
| Yes            | 100.00%   | 3 |
| No             | 0.00%     | 0 |
| TOTAL          |           | 3 |

### Q31 What could be done to enhance the interest in Briefing Meetings in your company (Select all that apply)

Answered: 4 Skipped: 21



| ANSWER CHOICES  | RESPONSES |   |
|---|-----------|---|
| Different formats allowed: VC/net meeting, webinars instead of traditional face-to-face       | 50.00%    | 2 |
| Discuss option of Briefing Meeting during routine Agency interactions with reviewing division | 100.00%   | 4 |
| Feedback on EMEA's advice/experience more routinely/widely available                          | 75.00%    | 3 |
| Meeting minutes posted (redacted) on EMEA website   | 0.00%     | 0 |
| Other (please specify)  | 25.00%    | 1 |
| Total Respondents: 4  |           |   |

## Q32 How can benefits of Briefing Meetings be further increased?

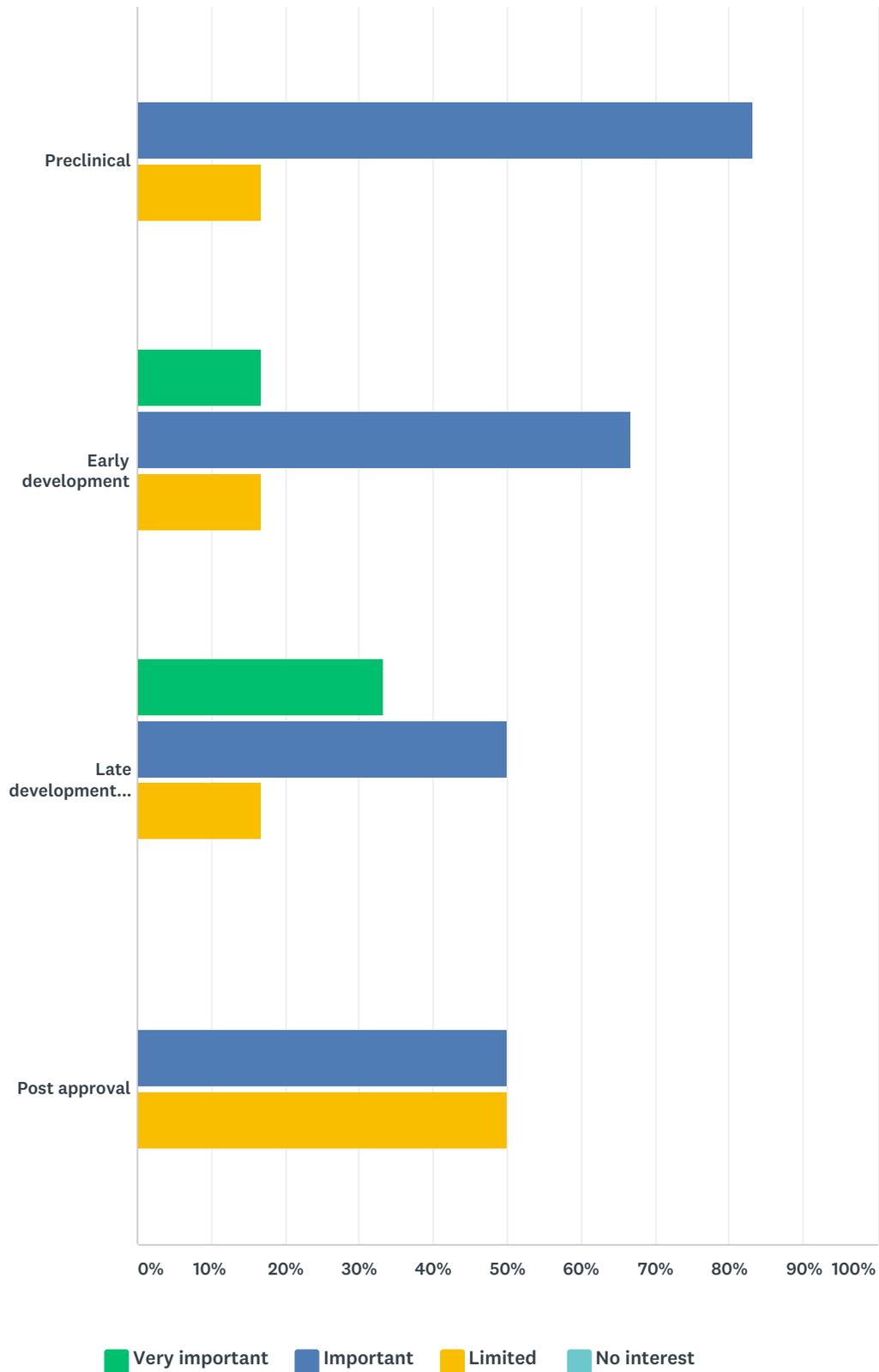
Answered: 3 Skipped: 22

**Q33 What would be good additions/modifications to Briefing Meetings in the future?**

Answered: 3 Skipped: 22

### Q34 What is the view of your company on seeking input on biomarkers from Health Authorities?

Answered: 6 Skipped: 19

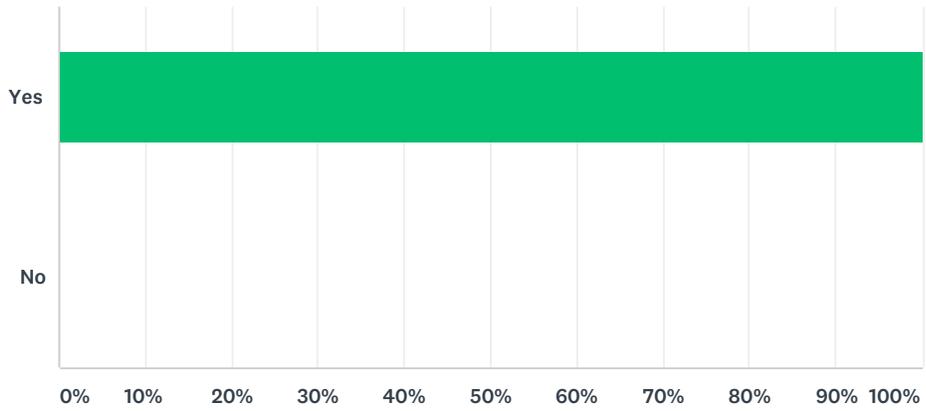


FDA VXDS/EMEA Briefing Meeting Feedback Form

|                              | VERY IMPORTANT | IMPORTANT   | LIMITED     | NO INTEREST | TOTAL |
|------------------------------|----------------|-------------|-------------|-------------|-------|
| Preclinical                  | 0.00%<br>0     | 83.33%<br>5 | 16.67%<br>1 | 0.00%<br>0  | 6     |
| Early development            | 16.67%<br>1    | 66.67%<br>4 | 16.67%<br>1 | 0.00%<br>0  | 6     |
| Late development (after POC) | 33.33%<br>2    | 50.00%<br>3 | 16.67%<br>1 | 0.00%<br>0  | 6     |
| Post approval                | 0.00%<br>0     | 50.00%<br>3 | 50.00%<br>3 | 0.00%<br>0  | 6     |

### Q35 Does your company request Scientific Advice from EMEA?

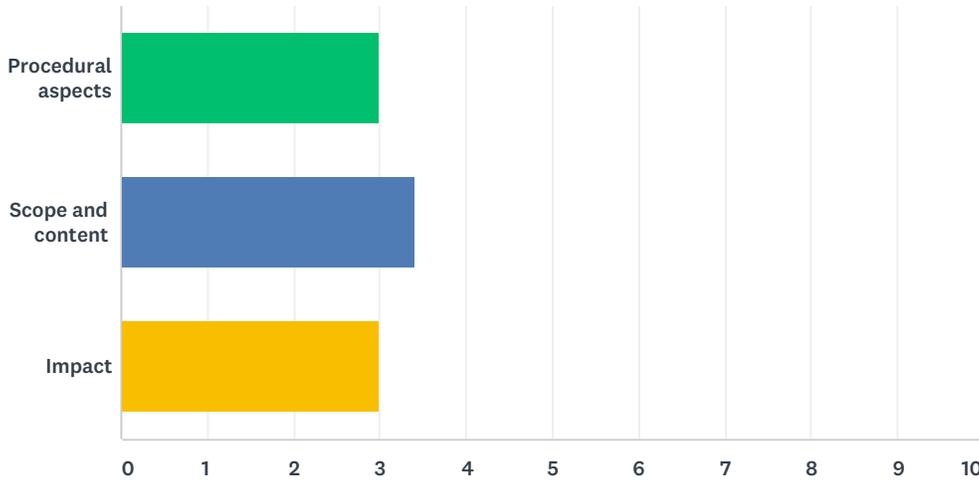
Answered: 4 Skipped: 21



| ANSWER CHOICES | RESPONSES |   |
|----------------|-----------|---|
| Yes            | 100.00%   | 4 |
| No             | 0.00%     | 0 |
| TOTAL          |           | 4 |

### Q36 FDA's VXDS meetings are viewed favorably for (Please rate on scale 1 to 5)

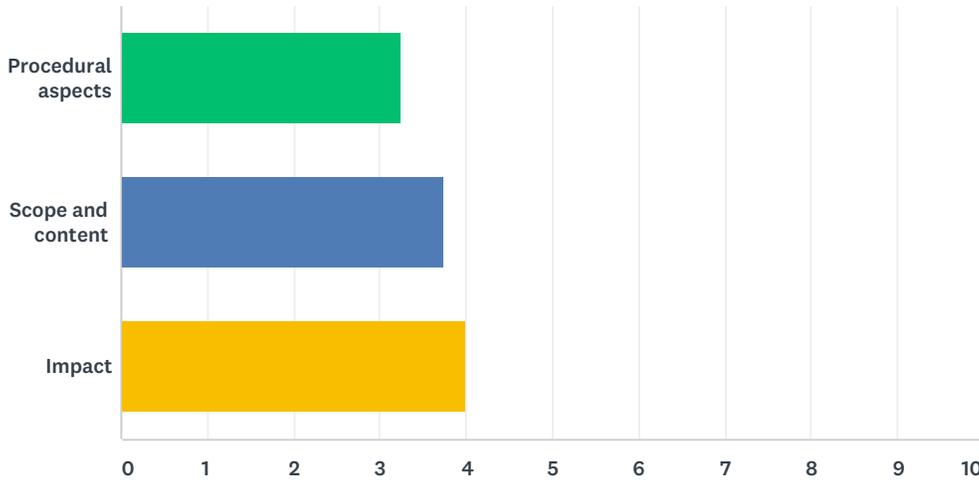
Answered: 5 Skipped: 20



|                    | LEAST - 1  | 2           | 3           | 4           | MOST - 5    | N/A        | TOTAL | WEIGHTED AVERAGE |
|--------------------|------------|-------------|-------------|-------------|-------------|------------|-------|------------------|
| Procedural aspects | 0.00%<br>0 | 40.00%<br>2 | 40.00%<br>2 | 0.00%<br>0  | 20.00%<br>1 | 0.00%<br>0 | 5     | 3.00             |
| Scope and content  | 0.00%<br>0 | 20.00%<br>1 | 20.00%<br>1 | 60.00%<br>3 | 0.00%<br>0  | 0.00%<br>0 | 5     | 3.40             |
| Impact             | 0.00%<br>0 | 60.00%<br>3 | 0.00%<br>0  | 20.00%<br>1 | 20.00%<br>1 | 0.00%<br>0 | 5     | 3.00             |

### Q37 EMEA's Scientific Advice meetings are viewed favorably for (Please rate on scale of 1 to 5)

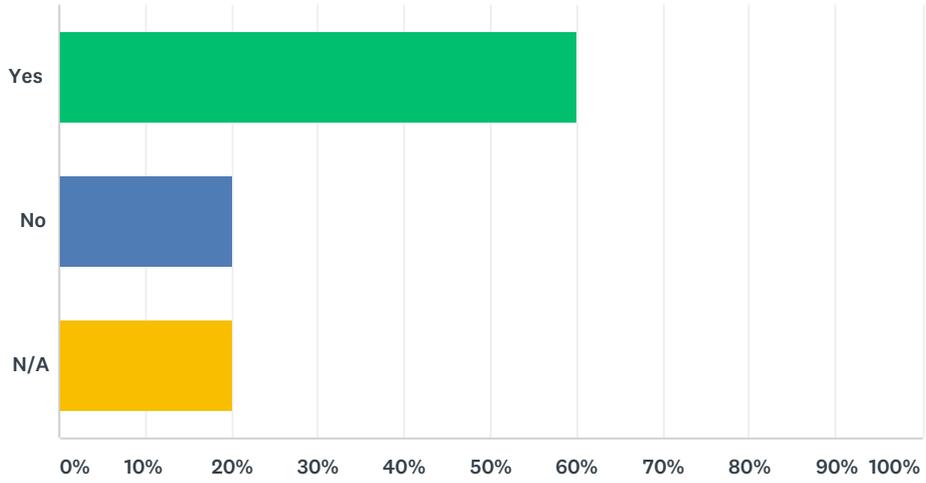
Answered: 4 Skipped: 21



|                    | LEAST - 1  | 2           | 3           | 4           | MOST - 5    | N/A        | TOTAL | WEIGHTED AVERAGE |
|--------------------|------------|-------------|-------------|-------------|-------------|------------|-------|------------------|
| Procedural aspects | 0.00%<br>0 | 25.00%<br>1 | 25.00%<br>1 | 50.00%<br>2 | 0.00%<br>0  | 0.00%<br>0 | 4     | 3.25             |
| Scope and content  | 0.00%<br>0 | 0.00%<br>0  | 25.00%<br>1 | 75.00%<br>3 | 0.00%<br>0  | 0.00%<br>0 | 4     | 3.75             |
| Impact             | 0.00%<br>0 | 25.00%<br>1 | 0.00%<br>0  | 25.00%<br>1 | 50.00%<br>2 | 0.00%<br>0 | 4     | 4.00             |

### Q38 FDA and EMEA meetings have (often) similar outcomes

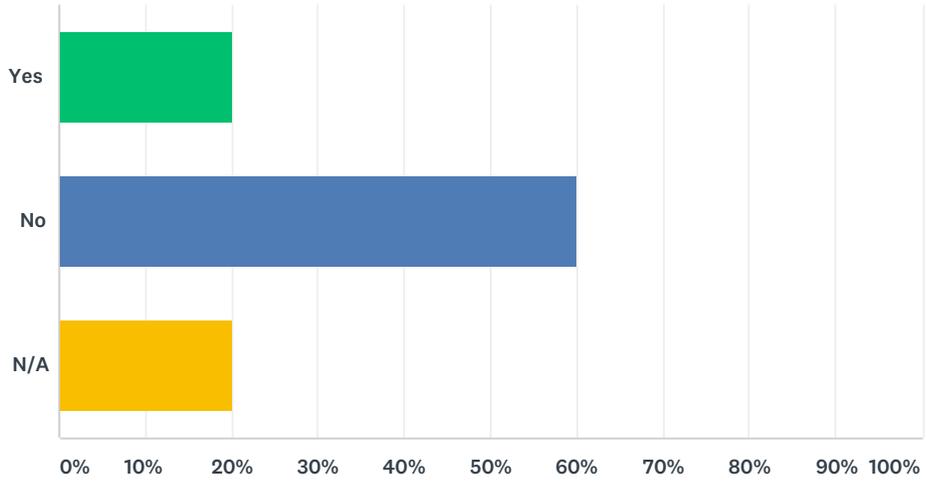
Answered: 5 Skipped: 20



| ANSWER CHOICES | RESPONSES |          |
|----------------|-----------|----------|
| Yes            | 60.00%    | 3        |
| No             | 20.00%    | 1        |
| N/A            | 20.00%    | 1        |
| <b>TOTAL</b>   |           | <b>5</b> |

### Q39 FDA and EMEA meetings have (often) divergent outcomes

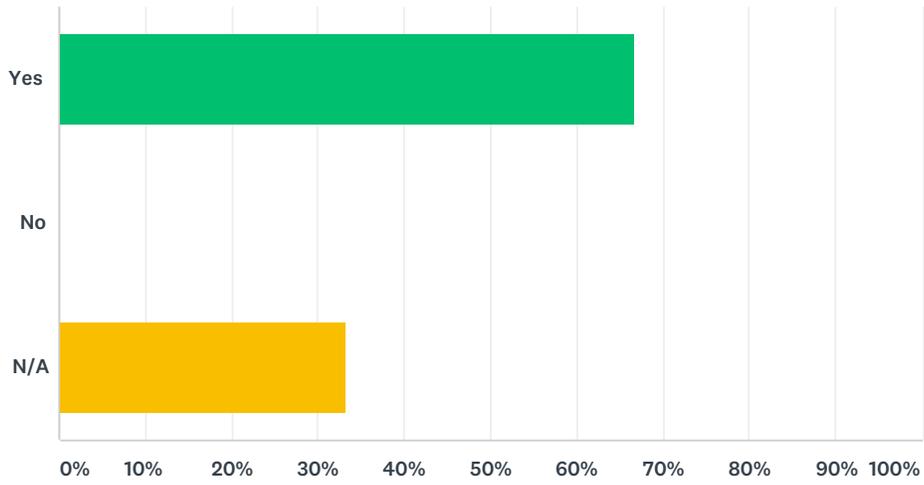
Answered: 5 Skipped: 20



| ANSWER CHOICES | RESPONSES |          |
|----------------|-----------|----------|
| Yes            | 20.00%    | 1        |
| No             | 60.00%    | 3        |
| N/A            | 20.00%    | 1        |
| <b>TOTAL</b>   |           | <b>5</b> |

## Q40 FDA and EMEA officials gave (often) similar advice

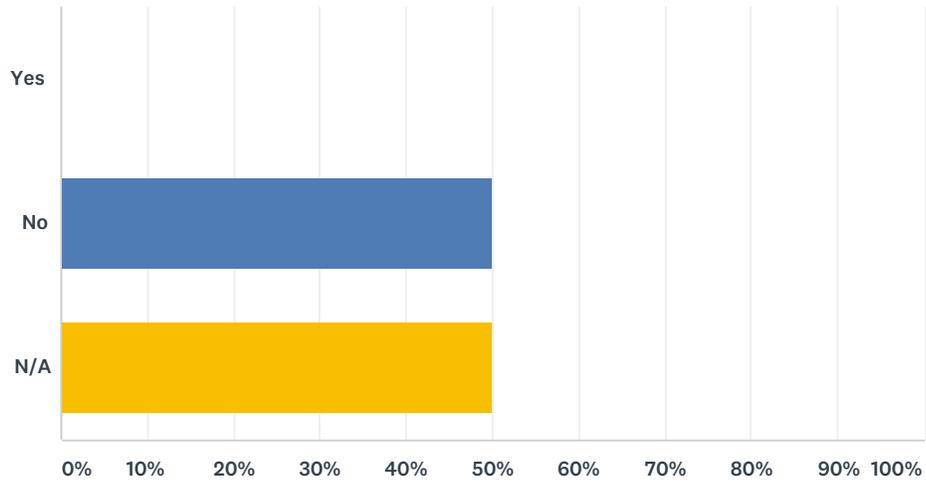
Answered: 6 Skipped: 19



| ANSWER CHOICES | RESPONSES |          |
|----------------|-----------|----------|
| Yes            | 66.67%    | 4        |
| No             | 0.00%     | 0        |
| N/A            | 33.33%    | 2        |
| <b>TOTAL</b>   |           | <b>6</b> |

## Q41 FDA and EMEA officials gave (often) divergent advice

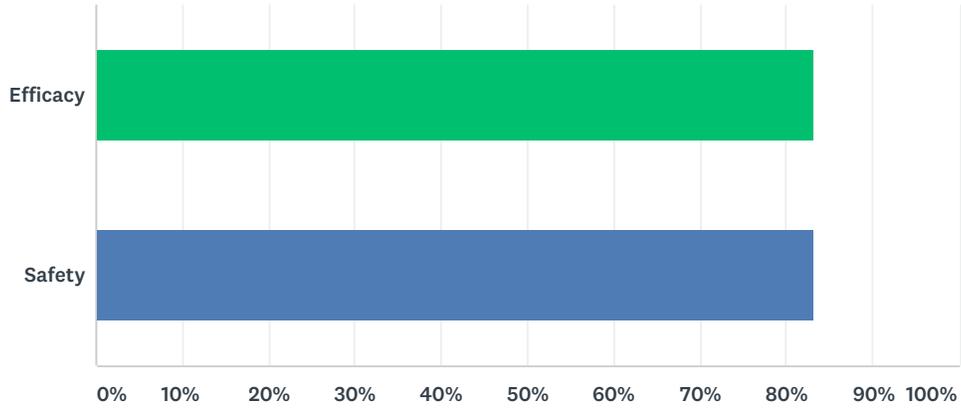
Answered: 6 Skipped: 19



| ANSWER CHOICES | RESPONSES |          |
|----------------|-----------|----------|
| Yes            | 0.00%     | 0        |
| No             | 50.00%    | 3        |
| N/A            | 50.00%    | 3        |
| <b>TOTAL</b>   |           | <b>6</b> |

## Q42 Discussion on biomarkers

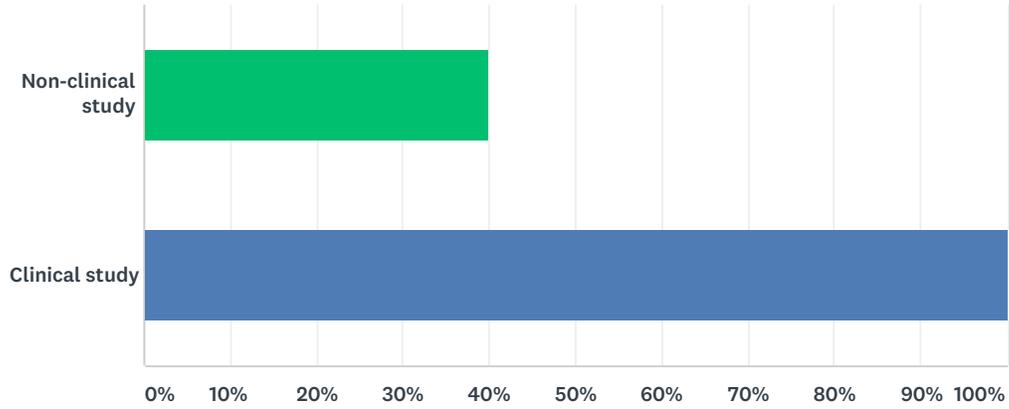
Answered: 6 Skipped: 19



| ANSWER CHOICES       | RESPONSES |   |
|----------------------|-----------|---|
| Efficacy             | 83.33%    | 5 |
| Safety               | 83.33%    | 5 |
| Total Respondents: 6 |           |   |

## Q43 Discussion on biomarker study design

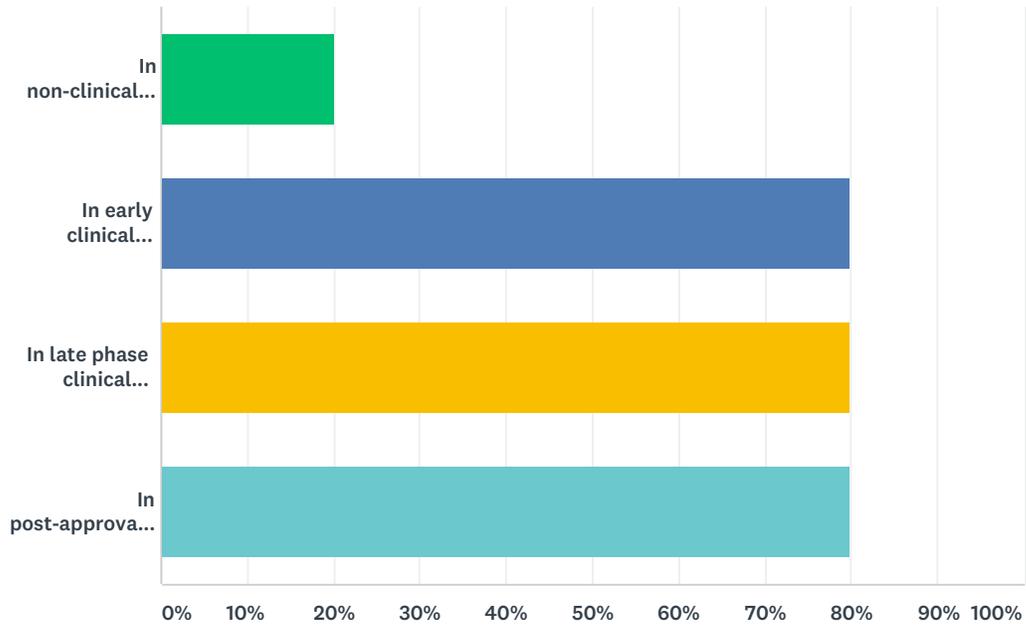
Answered: 5 Skipped: 20



| ANSWER CHOICES       | RESPONSES |
|----------------------|-----------|
| Non-clinical study   | 40.00% 2  |
| Clinical study       | 100.00% 5 |
| Total Respondents: 5 |           |

## Q44 Discussion on use of biomarkers in development programs

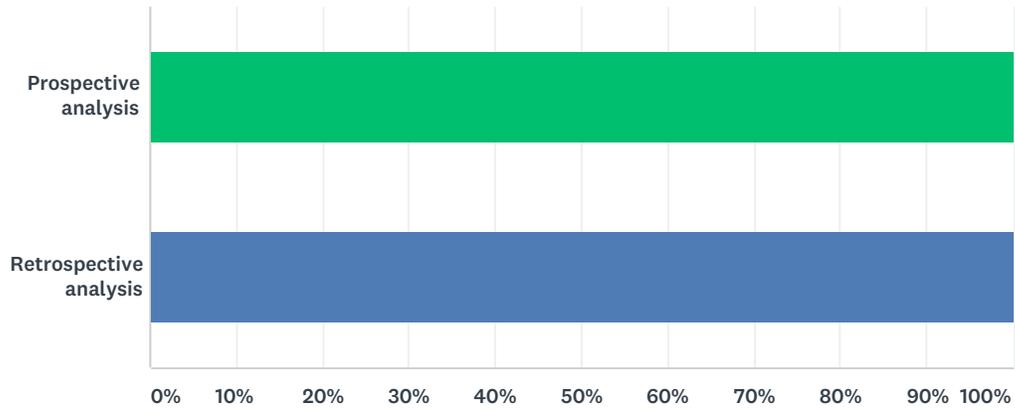
Answered: 5 Skipped: 20



| ANSWER CHOICES                                       | RESPONSES |   |
|--|-----------|---|
| In non-clinical studies                              | 20.00%    | 1 |
| In early clinical studies                            | 80.00%    | 4 |
| In late phase clinical studies (Phase 2b or Phase 3) | 80.00%    | 4 |
| In post-approval studies                             | 80.00%    | 4 |
| Total Respondents: 5                                 |           |   |

## Q45 Discussion on biomarker data analysis

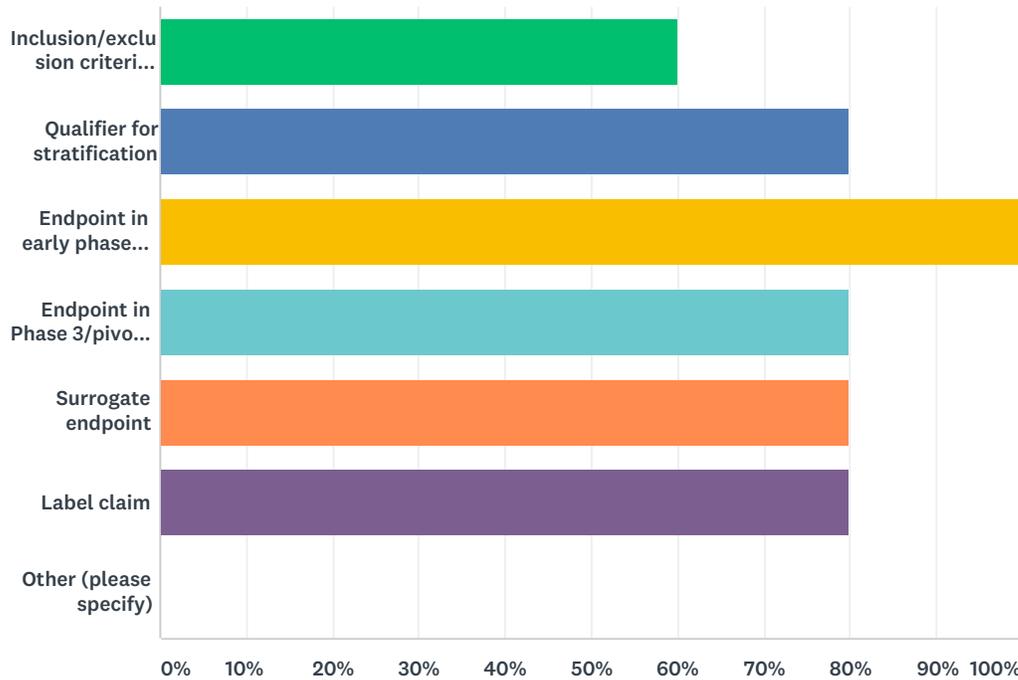
Answered: 4 Skipped: 21



| ANSWER CHOICES         | RESPONSES |   |
|------------------------|-----------|---|
| Prospective analysis   | 100.00%   | 4 |
| Retrospective analysis | 100.00%   | 4 |
| Total Respondents: 4   |           |   |

## Q46 Discussion on use and/or regulatory qualification of biomarkers for:

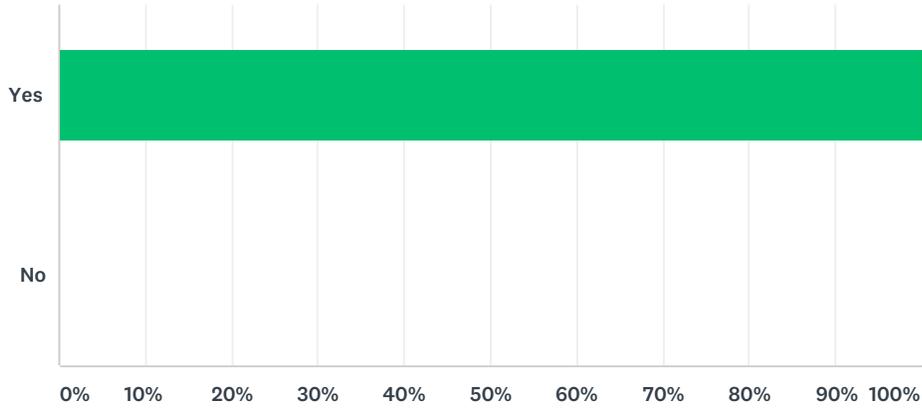
Answered: 5 Skipped: 20



| ANSWER CHOICES                                   | RESPONSES |   |
|--|-----------|---|
| Inclusion/exclusion criteria in clinical studies | 60.00%    | 3 |
| Qualifier for stratification                     | 80.00%    | 4 |
| Endpoint in early phase clinical trial           | 100.00%   | 5 |
| Endpoint in Phase 3/pivotal study                | 80.00%    | 4 |
| Surrogate endpoint                               | 80.00%    | 4 |
| Label claim                                      | 80.00%    | 4 |
| Other (please specify)                           | 0.00%     | 0 |
| Total Respondents: 5                             |           |   |

## Q47 Discussion on co-development diagnostic test

Answered: 4 Skipped: 21



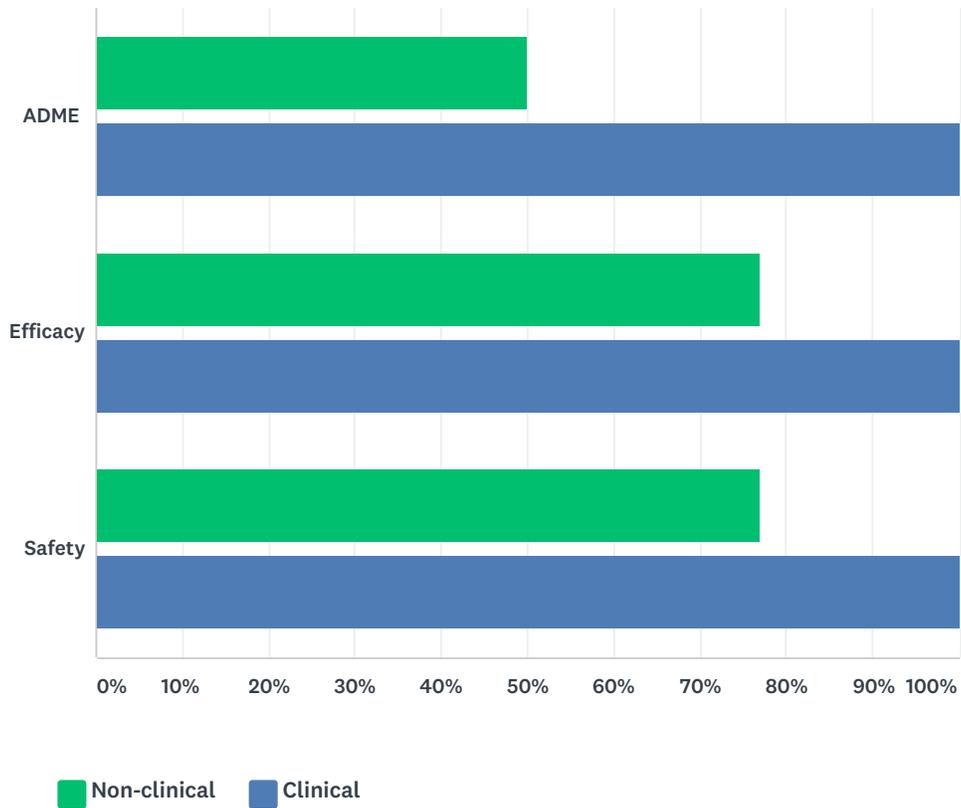
| ANSWER CHOICES       | RESPONSES |   |
|----------------------|-----------|---|
| Yes                  | 100.00%   | 4 |
| No                   | 0.00%     | 0 |
| Total Respondents: 4 |           |   |

## Q48 Discussion on other Topics (Describe):

Answered: 1 Skipped: 24

### Q49 In what areas are biomarkers employed in your clinical studies? (Select all that apply)

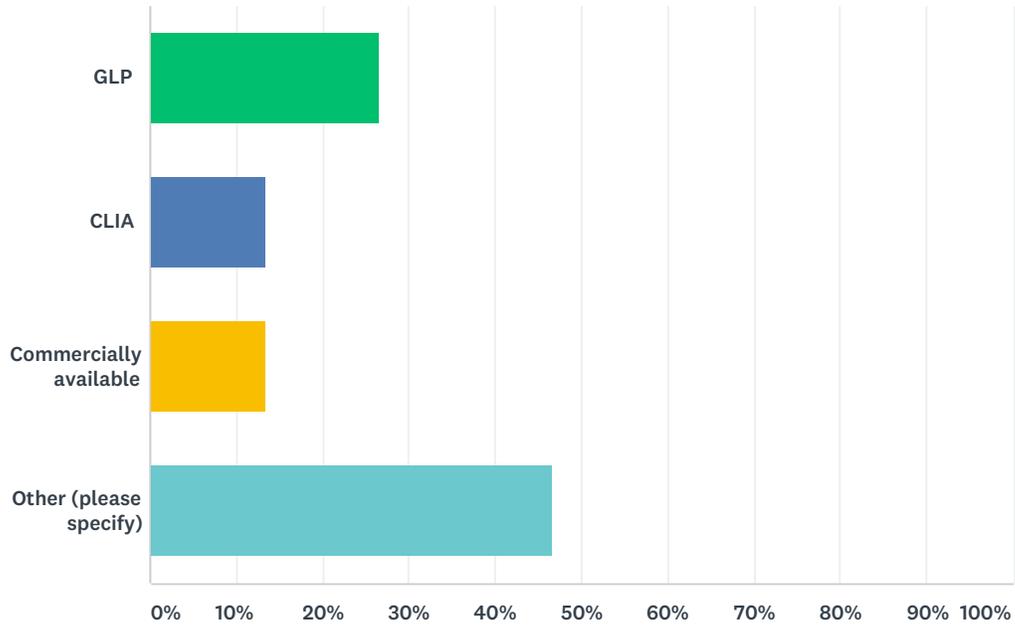
Answered: 13 Skipped: 12



|          | NON-CLINICAL | CLINICAL      | TOTAL RESPONDENTS |
|----------|--------------|---------------|-------------------|
| ADME     | 50.00%<br>6  | 100.00%<br>12 | 12                |
| Efficacy | 76.92%<br>10 | 100.00%<br>13 | 13                |
| Safety   | 76.92%<br>10 | 100.00%<br>13 | 13                |

## Q50 Under what laboratory conditions (GLP, CLIA, etc.) are biomarkers in clinical studies analyzed?

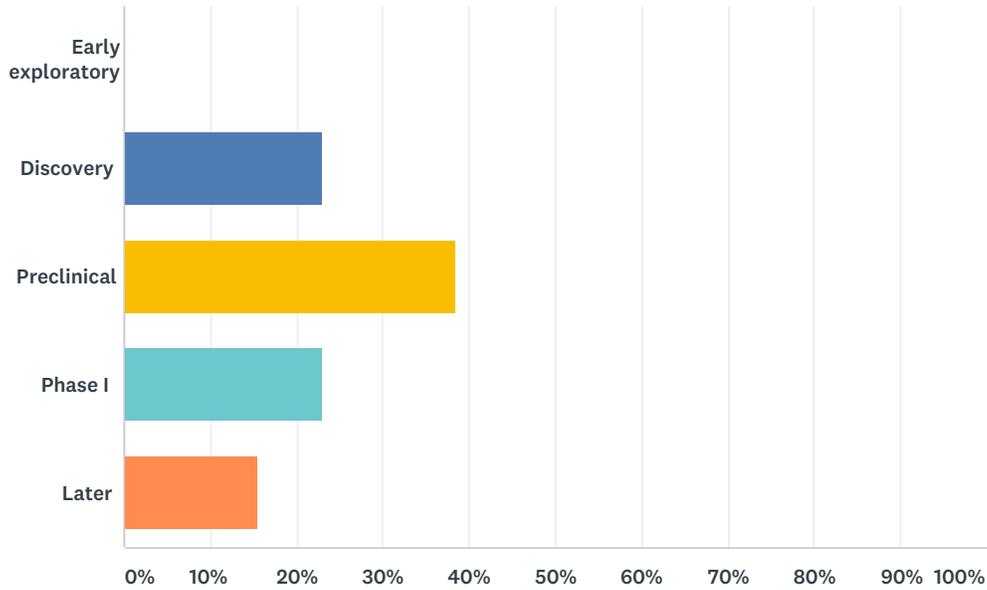
Answered: 15 Skipped: 10



| ANSWER CHOICES         | RESPONSES |           |
|------------------------|-----------|-----------|
| GLP                    | 26.67%    | 4         |
| CLIA                   | 13.33%    | 2         |
| Commercially available | 13.33%    | 2         |
| Other (please specify) | 46.67%    | 7         |
| <b>TOTAL</b>           |           | <b>15</b> |

### Q51 At what point in drug development are biomarker strategies most commonly developed?

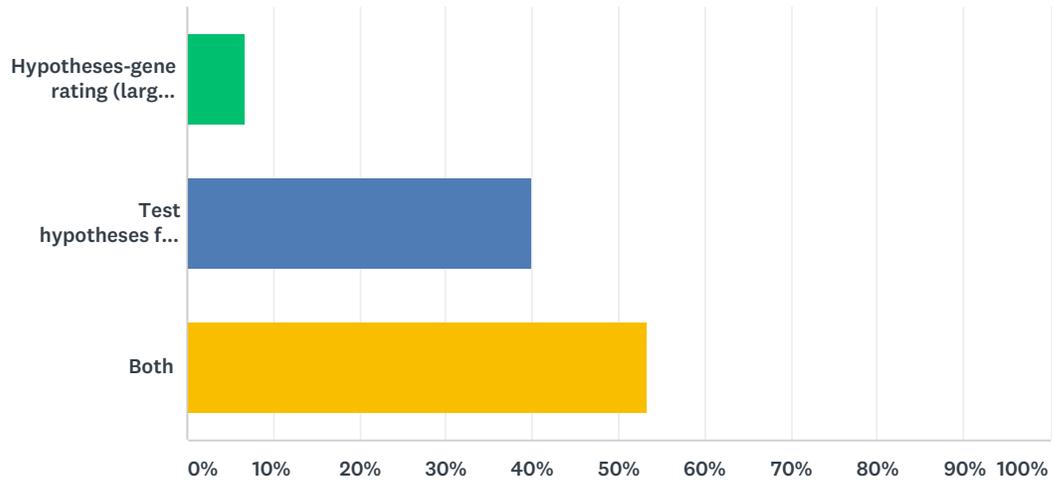
Answered: 13 Skipped: 12



| ANSWER CHOICES    | RESPONSES |           |
|-------------------|-----------|-----------|
| Early exploratory | 0.00%     | 0         |
| Discovery         | 23.08%    | 3         |
| Preclinical       | 38.46%    | 5         |
| Phase I           | 23.08%    | 3         |
| Later             | 15.38%    | 2         |
| <b>TOTAL</b>      |           | <b>13</b> |

## Q52 Are your biomarker studies in phase 3 clinical trials designed to be:

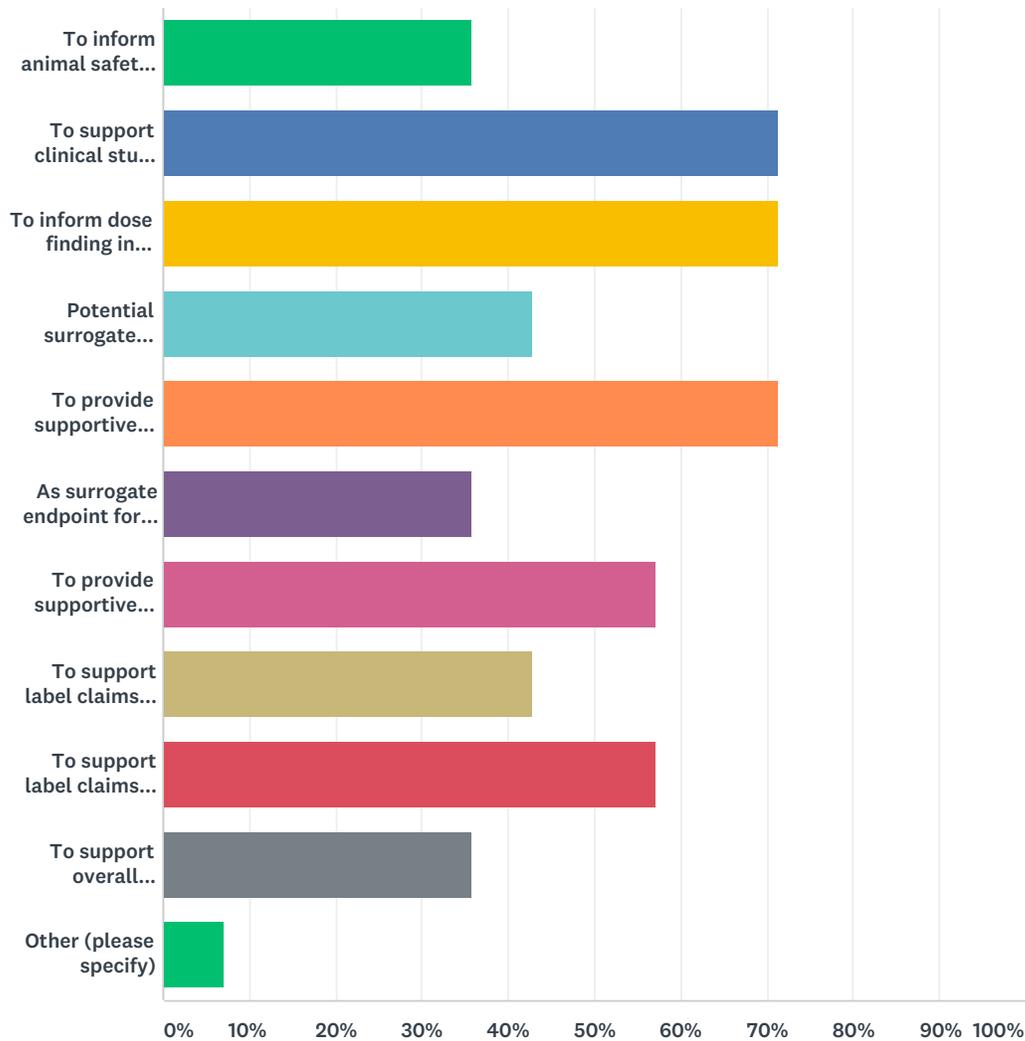
Answered: 15 Skipped: 10



| ANSWER CHOICES   | RESPONSES |           |
|--|-----------|-----------|
| Hypotheses-generating (large scale profiling approaches) | 6.67%     | 1         |
| Test hypotheses for one or a few biomarkers              | 40.00%    | 6         |
| Both   | 53.33%    | 8         |
| <b>TOTAL</b>   |           | <b>15</b> |

## Q53 How are biomarker study results used in regulatory submissions? (Select all that apply)

Answered: 14 Skipped: 11



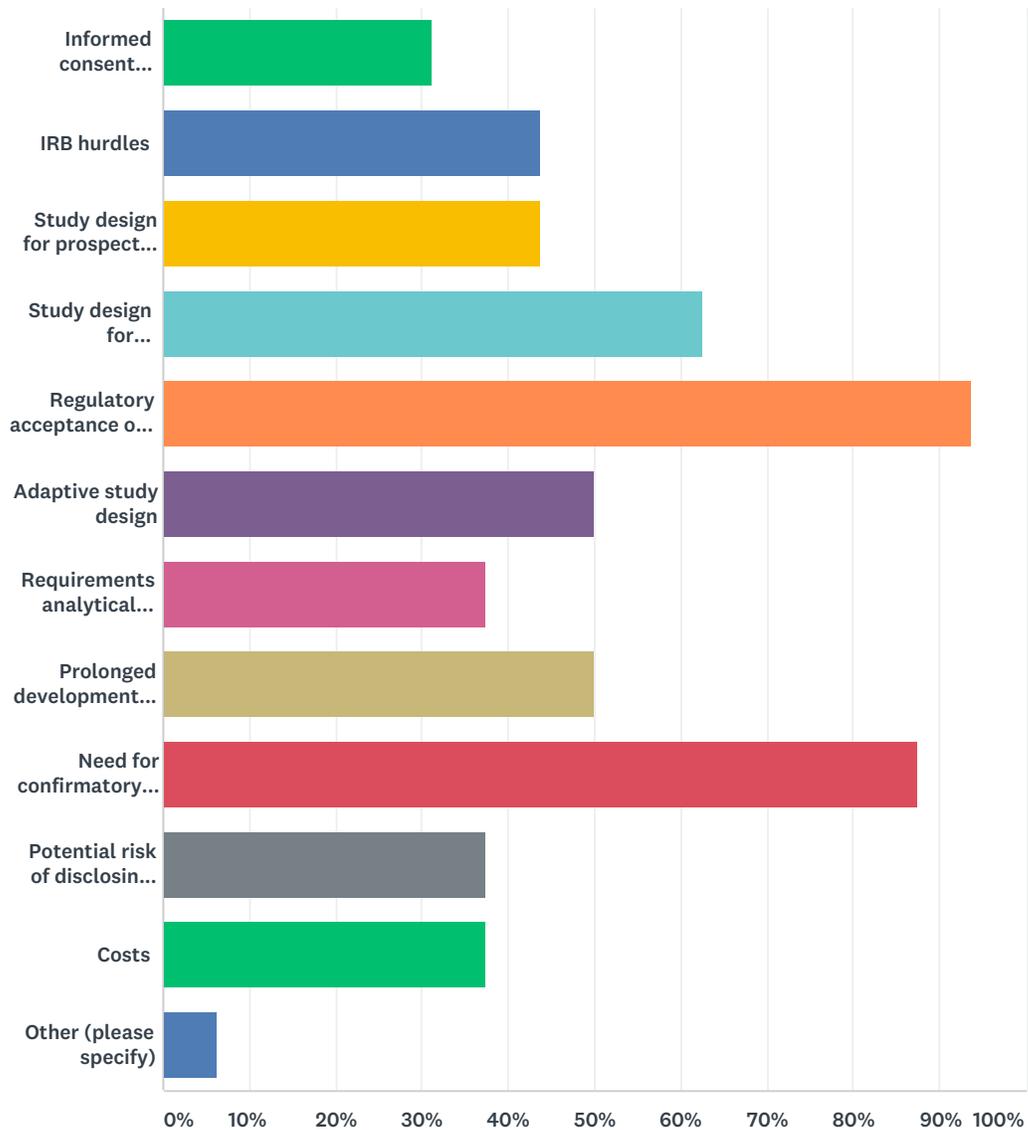
| ANSWER CHOICES  | RESPONSES |    |
|---|-----------|----|
| To inform animal safety studies   | 35.71%    | 5  |
| To support clinical study design (e.g. inclusion/exclusion, prognosis)                                      | 71.43%    | 10 |
| To inform dose finding in clinical trials   | 71.43%    | 10 |
| Potential surrogate endpoint for long-term efficacy outcomes  | 42.86%    | 6  |
| To provide supportive evidence for efficacy   | 71.43%    | 10 |
| As surrogate endpoint for efficacy in accelerated approval (when reasonably predictive of clinical benefit) | 35.71%    | 5  |
| To provide supportive evidence and rationale for adverse event/laboratory changes                           | 57.14%    | 8  |
| To support label claims: Clinical decision making (Indications, dosing recommendations/modifications)       | 42.86%    | 6  |
| To support label claims: Descriptive sections (clinical pharmacology, clinical studies)                     | 57.14%    | 8  |

## FDA VXDS/EMEA Briefing Meeting Feedback Form

|   |        |   |
|---|--------|---|
| To support overall benefit-risk assessments with ability to identify "extreme responder" and/or "non-responder" populations | 35.71% | 5 |
| Other (please specify)  | 7.14%  | 1 |
| Total Respondents: 14   |        |   |

### Q54 What do you think are the most important unresolved issues facing biomarker use in drug development today? (Select all that apply)

Answered: 16 Skipped: 9



| ANSWER CHOICES                                       | RESPONSES |
|--|-----------|
| Informed consent harmonization                       | 31.25% 5  |
| IRB hurdles  | 43.75% 7  |
| Study design for prospective analysis                | 43.75% 7  |
| Study design for retrospective analysis              | 62.50% 10 |
| Regulatory acceptance of retrospective data analysis | 93.75% 15 |
| Adaptive study design                                | 50.00% 8  |
| Requirements analytical validation                   | 37.50% 6  |

## FDA VXDS/EMEA Briefing Meeting Feedback Form

|   |        |    |
|---|--------|----|
| Prolonged development programs                                      | 50.00% | 8  |
| Need for confirmatory/additional clinical studies                   | 87.50% | 14 |
| Potential risk of disclosing exploratory data i.e. no "safe harbor" | 37.50% | 6  |
| Costs   | 37.50% | 6  |
| Other (please specify)  | 6.25%  | 1  |
| Total Respondents: 16   |        |    |

## Q55 Additional comments and thoughts

Answered: 3 Skipped: 22