

#1

COMPLETE

Collector: Web Link (Web Link)
Started: Thursday, September 05, 2013 11:09:36 AM
Last Modified: Thursday, September 05, 2013 11:21:37 AM
Time Spent: 00:12:00

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Never |
| Multiple rising dose | Never |
| Drug-drug interaction | Sometimes |
| Special population | Never |
| Other clin pharm | Never |
| Proof of concept | Never |
| Dose ranging | Never |
| Pivotal | Never |
| Other | Never |

Q2**Never**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|-----------------------|-----|
| CYP1A2 | No |
| CYP2A6 | No |
| CYP2B6 | No |
| CYP2C8 | No |
| CYP2C9 | No |
| CYP2C19 | No |
| CYP2D6 | Yes |
| CYP3A4 | No |
| CYP3A5 | No |
| Other phase I enzyme | No |
| UGT1A1 | No |
| TPMT | No |
| Other phase II enzyme | No |
| OATP1B1 | Yes |
| BCRP | No |
| MDR1 | No |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

No,
If No suggest alternatives:
Criterion is a bit out of reality since ADME is usually not part of early Phase I and, therefore, contribution of partial clearances not yet known

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Never

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Never |
| Other clin pharm | Never |
| Proof of concept | Never |
| Dose ranging | Never |
| Pivotal | Never |
| Other | Never |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|-----------|
| Inclusion criterion | No |
| Exclusion criterion | No |
| Dose Adjustment | No |

Q9

Respondent skipped this question

If Yes to Study design what types of study? All that apply

Q10

External Lab

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

cost and lack of internal resources

Q12

Respondent skipped this question

If genotyping is done in-house, what genotyping platform is used?

Q13 Respondent skipped this question

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

| | |
|-----------------------|----------------------------------|
| PK Outlier | Candidate gene approaches |
| Drug-drug interaction | Candidate gene approaches |
| Known PK property | Candidate gene approaches |
| Unclear PK property | Candidate gene approaches |

Q15 Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16 No

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17 No

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|----------------------|
| Phase I | No (Optional) |
| Drug interaction studies | No (Optional) |
| Phase II | No (Optional) |
| Phase III | No (Optional) |
| Phase IV | No (Optional) |

Q19 No

. Has ADME PGx information been used for decision making at your company

Q20 Respondent skipped this question

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21 Respondent skipped this question

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22 Stayed the same

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23 No

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24 Respondent skipped this question

If yes to 23 specify diagnostic type

Q25 Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|-----|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | No |
| CLIA (Clinical Laboratory Improvement Amendments) | No |
| CAP (College of American Pathologists) | No |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | No |
| CLSI (Clinical and Laboratory Standards Institute) | No |

Q26 Never

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**No**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**No**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

What sources are used to determine allele/SNP selection?
all that apply

PharmGKB,
dbSNP,
1000genome,
Literature,
determined by platform,
Other (please specify):
Ensembl

Q30

What sources are used to determine result interpretation?
all that apply

Literature,
Platform specific (eg. DMET Chip)

Q31**No**

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Q32**no**

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

630861

Q34

Less than 1 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#2

COMPLETE

Collector: Web Link (Web Link)
Started: Thursday, September 05, 2013 3:45:00 PM
Last Modified: Thursday, September 05, 2013 4:05:31 PM
Time Spent: 00:20:30

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Usually |
| Drug-drug interaction | Usually |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Never |
| Dose ranging | Sometimes |
| Pivotal | Never |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|-----------------------|-----|
| CYP1A2 | No |
| CYP2A6 | No |
| CYP2B6 | Yes |
| CYP2C8 | No |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | Yes |
| UGT1A1 | Yes |
| TPMT | No |
| Other phase II enzyme | Yes |
| OATP1B1 | Yes |
| BCRP | No |
| MDR1 | No |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Retrospective, when high PK variability or PK outlier observed

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Sometimes

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Usually |
| Multiple rising dose | Usually |
| Drug-drug interaction | Always |
| Special population | Sometimes |
| Other clin pharm | Never |
| Proof of concept | Never |
| Dose ranging | Sometimes |
| Pivotal | Never |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | No |

Q9

If Yes to Study design what types of study? All that apply

**First in human,
Multiple rising dose,
Drug-drug interaction,
Special population,
Dose ranging**

Q10

Where is your ADME PGx testing performed for clinical studies?

Both internal and external labs

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Laboratory certification

Q12

If genotyping is done in-house, what genotyping platform is used?

**Taqman ADME assay,
Sanger sequencing**

Q13

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

through-put or number of markers

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

| | |
|----------------------------|-----------------------------------|
| PK Outlier | Candidate gene approaches |
| Drug-drug interaction | Candidate gene approaches |
| Known PK property | Candidate gene approaches |
| Unclear PK property | Hypothesis free approaches |
| Which platforms were used? | |
| taqman | |

Q15

Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16

Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17

Yes

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|------------------------|
| Phase I | No (Optional) |
| Drug interaction studies | Yes (Mandatory) |
| Phase II | No (Optional) |
| Phase III | No (Optional) |
| Phase IV | No (Optional) |

Q19**Yes**

. Has ADME PGx information been used for decision making at your company

Q20

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker previously validated

Q21**An unreplicated result has been used for internal decision making but not in a regulatory submission**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Stayed the same**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**No**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24**Respondent skipped this question**

If yes to 23 specify diagnostic type

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|------------|
| GCLP (Good Clinical Laboratory Practice) | No |
| GLP (Good Laboratory Practice) | Yes |
| CLIA (Clinical Laboratory Improvement Amendments) | Yes |
| CAP (College of American Pathologists) | Yes |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | No |
| CLSI (Clinical and Laboratory Standards Institute) | No |

| | |
|---|--|
| Q26 | Always |
| If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes | |
| Q27 | Yes |
| Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings? | |
| Q28 | No |
| Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings? | |
| Q29 | PharmGKB, dbSNP, 1000genome, Literature |
| What sources are used to determine allele/SNP selection? all that apply | |
| Q30 | PharmGKB, Literature, Platform specific (eg. DMET Chip) |
| What sources are used to determine result interpretation? all that apply | |
| Q31 | Yes |
| Have recent FDA and EMA guidances impacted practice of PGx in your company? | |
| Q32 | no |
| Have NGS, GWAS or other technologies impacted practice of PGx at your company? | |

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

281307

Q34

More than 2 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#3

COMPLETE

Collector: Web Link (Web Link)
Started: Monday, September 16, 2013 3:53:48 PM
Last Modified: Monday, September 16, 2013 4:31:53 PM
Time Spent: 00:38:04

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Always |
| Multiple rising dose | Always |
| Drug-drug interaction | Sometimes |
| Special population | Usually |
| Other clin pharm | Sometimes |
| Proof of concept | Always |
| Dose ranging | Sometimes |
| Pivotal | Usually |
| Other | Sometimes |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|-----------------------|-----|
| CYP1A2 | Yes |
| CYP2A6 | Yes |
| CYP2B6 | Yes |
| CYP2C8 | Yes |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | Yes |
| UGT1A1 | Yes |
| TPMT | Yes |
| Other phase II enzyme | Yes |
| OATP1B1 | Yes |
| BCRP | Yes |
| MDR1 | Yes |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Standard practice to broadly genotype and collect data across program

,

Retrospective, when high PK variability or PK outlier observed

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Sometimes

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|--------------------------------------|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | Yes |
| If yes which genes CYP2D6, CYP2C9 | |

Q9

If Yes to Study design what types of study? All that apply

**Multiple rising dose,
Special population,
Dose ranging**

Q10

Where is your ADME PGx testing performed for clinical studies?

Both internal and external labs

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Quality level requirement

Q12

If genotyping is done in-house, what genotyping platform is used?

**Taqman ADME assay,
Affymetrix DMET chip,
Sanger sequencing**

Q13

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

cost, throughput, # of SNPs, TAT

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier

Candidate gene approaches, Hypothesis free approaches, Was it successful?

Known PK property

Candidate gene approaches, Was it successful?

Unclear PK property

Hypothesis free approaches, Was it successful?

Which platforms were used?

Taqman, DMET

Q15

Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16

Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17

Yes

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|---------------------------------------|
| Phase I | Yes (Mandatory), No (Optional) |
| Drug interaction studies | No (Optional) |
| Phase II | No (Optional) |
| Phase III | No (Optional) |
| Phase IV | Yes (Mandatory), No (Optional) |

Q19**Yes**

. Has ADME PGx information been used for decision making at your company

Q20

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Yes for a previously validated ADME marker

Q21**An unreplicated result has been used for internal decision making but not in a regulatory submission**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Increased substantially (>50%)**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**No**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24**Respondent skipped this question**

If yes to 23 specify diagnostic type

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|------------|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | No |
| CLIA (Clinical Laboratory Improvement Amendments) | Yes |
| CAP (College of American Pathologists) | Yes |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | Yes |
| ISO (International Organization of Standardization) | Yes |
| CLSI (Clinical and Laboratory Standards Institute) | No |

Q26**Always**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**No**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**Yes**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

What sources are used to determine allele/SNP selection? all that apply

**PharmGKB,
dbSNP,
1000genome,
Literature**

Q30

What sources are used to determine result interpretation? all that apply

**PharmGKB,
Literature**

Q31

No

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Q32

no

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

125375

Q34

More than 2 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#4

COMPLETE

Collector: Web Link (Web Link)
Started: Wednesday, September 11, 2013 6:34:07 AM
Last Modified: Wednesday, September 18, 2013 1:08:12 PM
Time Spent: Over a day

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Usually |
| Multiple rising dose | Usually |
| Drug-drug interaction | Usually |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q2**usually**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|-----------------------|-----|
| CYP1A2 | Yes |
| CYP2A6 | Yes |
| CYP2B6 | Yes |
| CYP2C8 | Yes |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | Yes |
| UGT1A1 | Yes |
| TPMT | No |
| Other phase II enzyme | Yes |
| OATP1B1 | Yes |
| BCRP | Yes |
| MDR1 | Yes |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Standard practice to broadly genotype and collect data across program

,

Retrospective, when high PK variability or PK outlier observed

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Usually

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Usually |
| Multiple rising dose | Usually |
| Drug-drug interaction | Always |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | Yes |

Q9

If Yes to Study design what types of study? All that apply

**First in human,
Multiple rising dose,
Drug-drug interaction,
Dose ranging,
Pivotal**

Q10

Where is your ADME PGx testing performed for clinical studies?

Both internal and external labs

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

quality certificate + resources + technical capabilities + platforms

ADME Genotyping Practices

Q12

If genotyping is done in-house, what genotyping platform is used?

Taqman ADME assay,

Other (please specify):
pyrosequencing

Q13

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

quality + possibility of validation + cost + ease of use + sensitivity

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier

Candidate gene approaches, Hypothesis free approaches, Was it successful?

Drug-drug interaction

Candidate gene approaches, Was it successful?

Known PK property

Candidate gene approaches, Hypothesis free approaches, Was it successful?

Unclear PK property

Was it successful?

Q15

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Yes

Q16

Have stored samples been used to address emerging issues during and/or after clinical trial?

Yes

Q17

Have regulatory authorities requested/suggested additional analysis on stored samples?

Yes

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|--------------------------------|
| Phase I | Yes (Mandatory), No (Optional) |
| Drug interaction studies | Yes (Mandatory), No (Optional) |
| Phase II | Yes (Mandatory), No (Optional) |
| Phase III | Yes (Mandatory), No (Optional) |
| PhaseIV | No (Optional) |

Q19

Yes

. Has ADME PGx information been used for decision making at your company

Q20

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

previously validated

Q21

Respondent skipped this question

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22

Increased substantially (>50%)

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23

Yes

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24

If yes to 23 specify diagnostic type

UGT1A1

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|------------|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | No |
| CLIA (Clinical Laboratory Improvement Amendments) | No |
| CAP (College of American Pathologists) | No |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | No |
| CLSI (Clinical and Laboratory Standards Institute) | No |

Q26**Always**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**Yes**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**Yes**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

What sources are used to determine allele/SNP selection? all that apply

PharmGKB,
dbSNP,
1000genome,
Literature,
determined by platform,
 Other (please specify):
 NGS

Q30

What sources are used to determine result interpretation?
all that apply

**PharmGKB,
Literature,
Platform specific (eg. DMET Chip)**

Q31

Have recent FDA and EMA guidances impacted practice
of PGx in your company?

Yes

Q32

Have NGS, GWAS or other technologies impacted
practice of PGx at your company?

**yes,
If yes, How?:
Identification of markers**

Page 3: About your company

Q33

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857046

Q34

What were the pharmaceutical R&D expenses of your
company in 2008?

More than 2 billion US dollars

#5

COMPLETE

Collector: Web Link (Web Link)
Started: Wednesday, September 04, 2013 12:47:31 PM
Last Modified: Sunday, September 22, 2013 10:26:59 PM
Time Spent: Over a week

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Never |
| Drug-drug interaction | Always |
| Special population | Sometimes |
| Other clin pharm | Never |
| Proof of concept | Never |
| Dose ranging | Never |
| Pivotal | Sometimes |
| Other | Never |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|--------|------------|
| CYP2C9 | Yes |
| CYP2D6 | Yes |

Q4**When preclinical data indicate a role for a specific gene in a compound's PK**

What triggers genotyping? Check all that apply

Q5**Yes**

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Q6**Sometimes**

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Never |
| Drug-drug interaction | Always |
| Special population | Sometimes |
| Other clin pharm | Never |
| Proof of concept | Never |
| Dose ranging | Never |
| Pivotal | Sometimes |
| Other | Never |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|-----------|
| Inclusion criterion | No |
| Exclusion criterion | No |
| Dose Adjustment | No |

Q9**Respondent skipped this question**

If Yes to Study design what types of study? All that apply

Q10**Both internal and external labs**

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Lack of internal resources

Q12

If genotyping is done in-house, what genotyping platform is used?

Taqman ADME assay,

Sanger sequencing,

Other (please specify):

Illumina chip, Pyrosequencing, DDPCR

Q13

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Cost, ease use,

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier

Candidate gene approaches

Drug-drug interaction

Candidate gene approaches

Known PK property

Candidate gene approaches

Unclear PK property

Candidate gene approaches

Q15

Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16

Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17

Yes

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|----------------------|
| Phase I | No (Optional) |
| Drug interaction studies | No (Optional) |
| Phase II | No (Optional) |
| Phase III | No (Optional) |
| PhaseIV | No (Optional) |

Q19**No**

. Has ADME PGx information been used for decision making at your company

Q20**Respondent skipped this question**

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21**Respondent skipped this question**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Increased substantially (>50%)**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**No**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24**Respondent skipped this question**

If yes to 23 specify diagnostic type

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|------------|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | Yes |
| CLIA (Clinical Laboratory Improvement Amendments) | Yes |
| CAP (College of American Pathologists) | Yes |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | Yes |
| CLSI (Clinical and Laboratory Standards Institute) | No |

Q26**Never**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**Respondent skipped this question**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**Respondent skipped this question**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

What sources are used to determine allele/SNP selection? all that apply

**PharmGKB,
dbSNP,
1000genome,
Literature**

Q30

What sources are used to determine result interpretation? all that apply

**PharmGKB,
Literature**

Q31

No

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Q32

Respondent skipped this question

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

429931

Q34

Less than 1 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#6

COMPLETE

Collector: Web Link (Web Link)
Started: Monday, September 23, 2013 12:42:06 PM
Last Modified: Monday, September 23, 2013 1:09:32 PM
Time Spent: 00:27:25

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Usually |
| Multiple rising dose | Usually |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |

Q2**Sometimes**How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|--------------------------------------|
| CYP1A2 | No |
| CYP2A6 | No |
| CYP2B6 | No |
| CYP2C8 | No |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | Yes |
| UGT1A1 | Yes |
| TPMT | No |
| Other phase II enzyme | Yes |
| OATP1B1 | Yes |
| BCRP | Yes |
| MDR1 | Yes |
| Other (please specify) | GSTP1, GSTM1, UGT1A9, UGT1B15 |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Retrospective, when high PK variability or PK outlier observed

,

Other (please specify):

concomitant meds that are polymorphically metabolized

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

No,

If No suggest alternatives:

in vivo clearance >50% is our trigger point

Q6**Sometimes**

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------------------------|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | No |
| If yes which genes CYP2D6, CYP2C19 | |

Q9**First in human**

If Yes to Study design what types of study? All that apply

Q10**Both internal and external labs**

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

China

Q12**Taqman ADME assay**

If genotyping is done in-house, what genotyping platform is used?

Q13

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

cost, ease of use, quality (CLIA certified)

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier

Candidate gene approaches

Drug-drug interaction

Candidate gene approaches

Known PK property

Candidate gene approaches, Was it successful?

Which platforms were used?

quantitive PCR

Q15**Yes**

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16**Yes**

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17**Yes**

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

Phase I

Yes (Mandatory)

Drug interaction studies

Yes (Mandatory)

Phase II

No (Optional)

Phase III

No (Optional)

Phase IV

No (Optional)

ADME Genotyping Practices

Q19

No

. Has ADME PGx information been used for decision making at your company

Q20

Respondent skipped this question

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21

Respondent skipped this question

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22

Stayed the same

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23

No

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24

Respondent skipped this question

If yes to 23 specify diagnostic type

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|------------|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | No |
| CLIA (Clinical Laboratory Improvement Amendments) | Yes |
| CAP (College of American Pathologists) | No |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | No |
| CLSI (Clinical and Laboratory Standards Institute) | Yes |

Q26**Always**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**No**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**No**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29**dbSNP,
Literature**

What sources are used to determine allele/SNP selection? all that apply

Q30**PharmGKB,
Literature**

What sources are used to determine result interpretation? all that apply

Q31**No**

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Q32**no**

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

989785

Q34

More than 2 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#7

COMPLETE

Collector: Web Link (Web Link)
Started: Tuesday, September 24, 2013 4:58:42 PM
Last Modified: Tuesday, September 24, 2013 5:19:45 PM
Time Spent: 00:21:03

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Always |
| Multiple rising dose | Usually |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Always |
| Dose ranging | Always |
| Pivotal | Always |

Q2**Sometimes**How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|---|
| CYP1A2 | Yes |
| CYP2A6 | Yes |
| CYP2B6 | Yes |
| CYP2C8 | Yes |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | Yes |
| UGT1A1 | Yes |
| TPMT | Yes |
| Other phase II enzyme | Yes |
| OATP1B1 | Yes |
| BCRP | Yes |
| MDR1 | Yes |
| Other (please specify) | We use multi-gene platforms (eg DMET chip) So we capture data on all |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Retrospective, when high PK variability or PK outlier observed

,

Other (please specify):

If adverse events have emerged (even in absence of pk variability) that might be explained by genetic determinants of drug disposition (transporters, adduct formation, etc)

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

No,

If No suggest alternatives:

Each program has multiple things to consider in the context of the drug clearance it is not a simple cut off that should trigger genotyping. e.g. the disease indication, PK variability, therapeutic window (clinical bounds), the frequency of the variant , the ethnic population being studied etc

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Always**Q7**

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

Inclusion criterion **Yes**

Exclusion criterion **Yes**

If yes which genes

CYP2D6 and UGT1A1. While not specifically trial design we have included specific genotyping in pivotal studies based on phase 2 exploratory data

Q9**Other clin pharm**

If Yes to Study design what types of study? All that apply

Q10**External Lab**

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Laboratory certification and lack of internal resources

Q12**Respondent skipped this question**

If genotyping is done in-house, what genotyping platform is used?

Q13**Respondent skipped this question**

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier

Candidate gene approaches, Hypothesis free approaches, Was it successful?

Drug-drug interaction

Candidate gene approaches, Hypothesis free approaches

Known PK property

Candidate gene approaches, Hypothesis free approaches, Was it successful?

Unclear PK property

Candidate gene approaches, Hypothesis free approaches

Which platforms were used?

Depends on the knowledge available on likely candidate gene and cost for individual assays and cost to design and validate an assay. Once you go over a threshold of genotyping assays larger platforms can be used and analyses are pre-specified in the statistical analysis plan. There is interest in moving toward NGS platforms

Q15**Yes**

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16

Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17

Yes

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|--------------------------------|
| Phase I | Yes (Mandatory), No (Optional) |
| Drug interaction studies | Yes (Mandatory), No (Optional) |
| Phase II | Yes (Mandatory), No (Optional) |
| Phase III | Yes (Mandatory), No (Optional) |
| Phase IV | No (Optional) |

Q19

Yes

. Has ADME PGx information been used for decision making at your company

Q20

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

BOTH Validated and novel

Q21

If yes to 19, what level of validation of the finding was required? Answer all that apply

An unreplicated result has been used for internal decision making but not in a regulatory submission
,

An unreplicated result based on a known valid biomarker has been used in a regulatory submission

Q22

Increased substantially (>50%)

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**No**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip ...) in the last five years?

Q24**Respondent skipped this question**

If yes to 23 specify diagnostic type

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

GCLP (Good Clinical Laboratory Practice)

Yes

CLIA (Clinical Laboratory Improvement Amendments)

Yes

CAP (College of American Pathologists)

Yes

ISO (International Organization of Standardization)

Yes**Q26**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Always,

Comments:

CLIA

Q27**Yes**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**Yes**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

What sources are used to determine allele/SNP selection? all that apply

PharmGKB,**dbSNP,****1000genome,****Literature**

Q30

What sources are used to determine result interpretation?
all that apply

**PharmGKB,
Literature,
Platform specific (eg. DMET Chip)**

Q31

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Yes,
If Yes, How?:
Guidances are always taken into consideration when considering internal practices around PGx. Guidances have helped drive PGx hypotheses into our development programs, increased collection, and increased implementation of genotyping to answer ADME PGx questions

Q32

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

yes,
If yes, How?:
Yes can be applied to understand if there are large signals for response for new Mechanisms. We are considering NGS platform for all genotyping moving forward but not yet implemented for ADME genotyping

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

534921

Q34

What were the pharmaceutical R&D expenses of your company in 2008?

More than 2 billion US dollars

#8

COMPLETE

Collector: Web Link (Web Link)
Started: Tuesday, September 24, 2013 7:15:41 PM
Last Modified: Tuesday, September 24, 2013 7:34:05 PM
Time Spent: 00:18:23

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|----------------|
| First in human | Usually |
| Multiple rising dose | Usually |
| Drug-drug interaction | Usually |
| Special population | Usually |
| Proof of concept | Usually |
| Dose ranging | Usually |
| Pivotal | Usually |

Q2**usually**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|---|
| Other (please specify) | We have not done yet. Hoping to perform in the future. |
|------------------------|---|

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK
 ,
Standard practice to broadly genotype and collect data across program
 ,
Retrospective, when high PK variability or PK outlier observed

Q5**Yes**

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Q6**Never**

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Q7

How often has your company performed ADME-related genotyping in:

Other

Never**Q8**

Has your company used ADME-related genotype(s) in study design?

Inclusion criterion

No

Exclusion criterion

No

Dose Adjustment

No**Q9****Respondent skipped this question**

If Yes to Study design what types of study? All that apply

Q10**External Lab**

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Genotyping expertise, lab certification, battery of testings

Q12**Taqman ADME assay,**

If genotyping is done in-house, what genotyping platform is used?

Affymetrix DMET chip

Q13

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Cost and ease of use etc..

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

| | |
|-----------------------|-----------------------------------|
| PK Outlier | Was it successful? |
| Drug-drug interaction | Hypothesis free approaches |
| Known PK property | Hypothesis free approaches |
| Unclear PK property | Was it successful? |

Q15

Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16

Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17

No

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|----------------------|
| Phase I | No (Optional) |
| Drug interaction studies | No (Optional) |
| Phase II | No (Optional) |
| Phase III | No (Optional) |

Q19

No

. Has ADME PGx information been used for decision making at your company

Q20 Respondent skipped this question

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21 Respondent skipped this question

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22 Stayed the same

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23 No

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24 Respondent skipped this question

If yes to 23 specify diagnostic type

Q25 Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|-----|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | Yes |
| CLIA (Clinical Laboratory Improvement Amendments) | Yes |
| CAP (College of American Pathologists) | Yes |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | Yes |
| CLSI (Clinical and Laboratory Standards Institute) | Yes |

Q26 Sometimes

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**No**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**No**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29**Literature**

What sources are used to determine allele/SNP selection?
all that apply

Q30**PharmGKB,**

What sources are used to determine result interpretation?
all that apply

Literature,**Platform specific (eg. DMET Chip)****Q31****No**

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Q32**no**

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

373538

Q34**Less than 1 billion US dollars**

What were the pharmaceutical R&D expenses of your company in 2008?

#9

COMPLETE

Collector: Web Link (Web Link)
Started: Thursday, August 15, 2013 1:48:37 PM
Last Modified: Wednesday, September 25, 2013 8:38:47 PM
Time Spent: Over a month
IP Address: 67.204.81.36

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Always |
| Multiple rising dose | Always |
| Drug-drug interaction | Always |
| Special population | Always |
| Other clin pharm | Always |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|-----------------------|-----|
| CYP1A2 | No |
| CYP2A6 | No |
| CYP2B6 | No |
| CYP2C8 | No |
| CYP2C9 | No |
| CYP2C19 | No |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | No |
| UGT1A1 | No |
| TPMT | No |
| Other phase II enzyme | No |
| OATP1B1 | No |
| BCRP | No |
| MDR1 | No |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Retrospective, when high PK variability or PK outlier observed

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Sometimes

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Never |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Never |
| Other clin pharm | Never |
| Proof of concept | Never |
| Dose ranging | Never |
| Pivotal | Never |
| Other | Never |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | Yes |
| If yes which genes | |
| CYP2D6 | |

Q9

Drug-drug interaction

If Yes to Study design what types of study? All that apply

Q10

Both internal and external labs

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Lack of internal resource, lab certification

Q12

Taqman ADME assay,

If genotyping is done in-house, what genotyping platform is used?

Affymetrix DMET chip,

Roche AmpliChip

Q13

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

cost, throughput, ease of use, number of markers (case by case)

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier **Candidate gene approaches, Hypothesis free approaches**

Drug-drug interaction **Candidate gene approaches**

Which platforms were used?
DMET, TaqMan, AmpliChip

Q15**Yes**

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16**Yes**

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17**No**

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

Phase I **No (Optional)**

Drug interaction studies **No (Optional)**

Phase II **No (Optional)**

Phase III **No (Optional)**

Phase IV **No (Optional)**

Q19**No**

. Has ADME PGx information been used for decision making at your company

Q20 Respondent skipped this question

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21 Respondent skipped this question

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22 Stayed the same

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23 No

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24 Respondent skipped this question

If yes to 23 specify diagnostic type

Q25 Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|-----|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | Yes |
| CLIA (Clinical Laboratory Improvement Amendments) | No |
| CAP (College of American Pathologists) | No |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | No |
| CLSI (Clinical and Laboratory Standards Institute) | No |

Q26 usually

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**Yes**

Have regulatory authorities requested/suggested sample collections in your clinical development programs during review meetings?

Q28**No**

Have regulatory authorities requested/suggested analysis in your clinical development programs during review meetings?

Q29

**dbSNP,
1000genome,
Literature**

What sources are used to determine allele/SNP selection? all that apply

Q30

**PharmGKB,
Literature**

What sources are used to determine result interpretation? all that apply

Q31**No**

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Q32

**yes,
If yes, How?:
NGS implementation**

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

963872

Q34**1-2 billion US dollars**

What were the pharmaceutical R&D expenses of your company in 2008?

#10

COMPLETE

Collector: Web Link (Web Link)
Started: Thursday, September 26, 2013 1:02:54 PM
Last Modified: Thursday, September 26, 2013 1:29:47 PM
Time Spent: 00:26:52

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|----------------------|----------------|
| First in human | Usually |
| Multiple rising dose | Usually |
| Special population | Usually |
| Proof of concept | Usually |
| Dose ranging | Usually |
| Pivotal | Usually |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|------------------------------------|
| Other (please specify) | targeted genes case by case |
|------------------------|------------------------------------|

Q4**Retrospective, when high PK variability or PK outlier observed**

What triggers genotyping? Check all that apply

Q5**No,**

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

If No suggest alternatives:
 It is not so straightforward depends on candidate enzymes, transporters & current understanding of clinical relevance

Q6**Never**

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Q7

How often has your company performed ADME-related genotyping in:

| | |
|----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Proof of concept | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|-----------|
| Inclusion criterion | No |
| Exclusion criterion | No |
| Dose Adjustment | No |

Q9**Respondent skipped this question**

If Yes to Study design what types of study? All that apply

Q10**External Lab**

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Capabilities & quality

Q12**Respondent skipped this question**

If genotyping is done in-house, what genotyping platform is used?

Q13**Respondent skipped this question**

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier

Candidate gene approaches, Hypothesis free approaches

Unclear PK property

Hypothesis free approaches**Q15****Yes**

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16**Yes**

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17**No**

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

Phase I

No (Optional)

Phase II

No (Optional)**Q19****No**

. Has ADME PGx information been used for decision making at your company

Q20**Respondent skipped this question**

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21**Respondent skipped this question**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22

Respondent skipped this question

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23

No

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24

Respondent skipped this question

If yes to 23 specify diagnostic type

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

GLP (Good Laboratory Practice)

Yes

CLIA (Clinical Laboratory Improvement Amendments)

Yes

ISO (International Organization of Standardization)

Yes

Q26

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Comments:

No experience in inclusion/exclusion ADME PGx

Q27

No

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28

No

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

What sources are used to determine allele/SNP selection? all that apply

PharmGKB,
dbSNP,
1000genome,
Literature

| | |
|--|---|
| Q30 | Literature |
| What sources are used to determine result interpretation? all that apply | |
| Q31 | Yes, |
| Have recent FDA and EMA guidances impacted practice of PGx in your company? | If Yes, How?: Interna currently I processes under evaluation |
| Q32 | yes, |
| Have NGS, GWAS or other technologies impacted practice of PGx at your company? | If yes, How?: NGS under evaluation |

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

395509

Q34

Less than 1 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#11

COMPLETE

Collector: Web Link (Web Link)
Started: Thursday, September 26, 2013 2:02:33 PM
Last Modified: Thursday, September 26, 2013 2:11:10 PM
Time Spent: 00:08:36

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|---------------|
| First in human | Always |
| Multiple rising dose | Always |
| Drug-drug interaction | Always |
| Special population | Always |
| Other clin pharm | Always |
| Proof of concept | Always |
| Dose ranging | Always |
| Pivotal | Always |
| Other | Always |

Q2**Always**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|-----------------------------------|
| CYP1A2 | No |
| CYP2A6 | No |
| CYP2B6 | No |
| CYP2C8 | Yes |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | No |
| CYP3A5 | Yes |
| UGT1A1 | Yes |
| TPMT | No |
| OATP1B1 | Yes |
| BCRP | Yes |
| MDR1 | No |
| Other (please specify) | other UGTs based on in vitro data |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Retrospective, when high PK variability or PK outlier observed

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Sometimes

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | No |
| If yes which genes CYP2D6, CYP2C19, CYP2C9 | |

Q9

Respondent skipped this question

If Yes to Study design what types of study? All that apply

Q10

External Lab

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

laboratory certification

Q12

Respondent skipped this question

If genotyping is done in-house, what genotyping platform is used?

Q13

Respondent skipped this question

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

| | |
|-----------------------|---|
| PK Outlier | Candidate gene approaches, Hypothesis free approaches, Was it successful? |
| Drug-drug interaction | Candidate gene approaches, Hypothesis free approaches |
| Known PK property | Candidate gene approaches, Was it successful? |
| Unclear PK property | Candidate gene approaches, Hypothesis free approaches, Was it successful? |

Q15

Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16

Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17

Yes

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|---------------|
| Phase I | No (Optional) |
| Drug interaction studies | No (Optional) |
| Phase II | No (Optional) |
| Phase III | No (Optional) |
| Phase IV | No (Optional) |

Q19**Yes**

. Has ADME PGx information been used for decision making at your company

Q20

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker validated marker

Q21**An independent replication has always been necessary**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Decreased Substantially (>50%)**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**Yes**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24

If yes to 23 specify diagnostic type

Amplichip

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

GCLP (Good Clinical Laboratory Practice)

Yes

GLP (Good Laboratory Practice)

Yes

CLIA (Clinical Laboratory Improvement Amendments)

Yes**Q26****Always**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

| | |
|---|---|
| Q27 | Respondent skipped this question |
| Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings? | |
| Q28 | Respondent skipped this question |
| Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings? | |
| Q29 | PharmGKB, dbSNP, 1000genome |
| What sources are used to determine allele/SNP selection? all that apply | |
| Q30 | PharmGKB, Literature |
| What sources are used to determine result interpretation? all that apply | |
| Q31 | Yes, If Yes, How?: raise internal awareness of ADME genetics |
| Have recent FDA and EMA guidances impacted practice of PGx in your company? | |
| Q32 | no |
| Have NGS, GWAS or other technologies impacted practice of PGx at your company? | |

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

610787

Q34

More than 2 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#12

COMPLETE

Collector: Web Link (Web Link)
Started: Friday, September 27, 2013 5:57:25 PM
Last Modified: Friday, September 27, 2013 6:11:48 PM
Time Spent: 00:14:23

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Usually |
| Multiple rising dose | Usually |
| Drug-drug interaction | Usually |
| Special population | Sometimes |
| Other clin pharm | Usually |
| Proof of concept | Usually |
| Dose ranging | Usually |
| Pivotal | Usually |
| Other | Sometimes |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|--|
| CYP1A2 | No |
| CYP2A6 | Yes |
| CYP2B6 | Yes |
| CYP2C8 | No |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | Yes |
| UGT1A1 | Yes |
| TPMT | No |
| Other phase II enzyme | Yes |
| OATP1B1 | Yes |
| BCRP | No |
| MDR1 | Yes |
| Other (please specify) | EPHX1, EPHX2, GSTM1, GSTT1, GSTP1, NAT1, NAT2, UGT1A9, UGT2B4, UGT2B7, SLCO2B1, SLCO1B3, SLC10A1, ABCG2, ABCC2 |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Retrospective, when high PK variability or PK outlier observed

,

Other (please specify):

Regulatory Requests

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

No,

If No suggest alternatives:

Our organization generally has considered 30% as the appropriate cutoff for overall clearance

Q6**Sometimes**

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Usually |
| Special population | Never |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Never |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|--|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | Yes |
| If yes which genes | |
| CYP2D6, CYP2C9, CYP2C19 (ADME genes listed only) | |

Q9

If Yes to Study design what types of study? All that apply

**Multiple rising dose,
Drug-drug interaction,
Other clin pharm,
Dose ranging**

Q10**External Lab**

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Until recently, ADME genotyping was performed both internally and externally. Re-organization and prioritization of resources led to decision to use external lab exclusively for ADME genotyping.

Q12

If genotyping is done in-house, what genotyping platform is used?

Other (please specify):

Not applicable

Q13

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Not applicable

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier

Candidate gene approaches, Was it successful?

Drug-drug interaction

Candidate gene approaches, Was it successful?

Known PK property

Candidate gene approaches, Was it successful?

Unclear PK property

Candidate gene approaches, Was it successful?

Which platforms were used?

Small scale genotyping (e.g., Taqman, sanger sequencing)

Q15

Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16

Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17

Yes

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|---------------------------------------|
| Phase I | Yes (Mandatory), No (Optional) |
| Drug interaction studies | Yes (Mandatory), No (Optional) |
| Phase II | Yes (Mandatory), No (Optional) |
| Phase III | No (Optional) |
| Phase IV | No (Optional) |

Q19**Yes**

. Has ADME PGx information been used for decision making at your company

Q20

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Previously validated

Q21**An unreplicated result has been used for internal decision making but not in a regulatory submission**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Stayed the same**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**No**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24

If yes to 23 specify diagnostic type

Not applicable

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|------------|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | Yes |
| CLIA (Clinical Laboratory Improvement Amendments) | Yes |
| CAP (College of American Pathologists) | No |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | No |
| CLSI (Clinical and Laboratory Standards Institute) | No |

Q26**Always**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**Yes**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**Yes**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

What sources are used to determine allele/SNP selection? all that apply

PharmGKB,
dbSNP,
1000genome,
Literature,
 Other (please specify):
 Gene-specific nomenclature pages (e.g., Karolinska webpage for P450s, UGT allele tables); Pharmaaddme.org

Q30

What sources are used to determine result interpretation? all that apply

PharmGKB,
Literature

ADME Genotyping Practices

Q31
Have recent FDA and EMA guidances impacted practice of PGx in your company?

Yes,
If Yes, How?:
Provided justification for collection and analysis of DNA samples

Q32
Have NGS, GWAS or other technologies impacted practice of PGx at your company?

no,
If yes, How?:
No, not in the context of ADME-related PGx

Page 3: About your company

Q33
Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

178524

Q34
What were the pharmaceutical R&D expenses of your company in 2008?

More than 2 billion US dollars

#13

COMPLETE

Collector: Web Link (Web Link)
Started: Thursday, October 03, 2013 9:55:33 AM
Last Modified: Thursday, October 03, 2013 10:31:14 AM
Time Spent: 00:35:40

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Usually |
| Multiple rising dose | Usually |
| Drug-drug interaction | Usually |
| Special population | Sometimes |
| Other clin pharm | Usually |
| Proof of concept | Usually |
| Dose ranging | Usually |
| Pivotal | Usually |
| Other | Sometimes |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|------|
| CYP1A2 | Yes |
| CYP2A6 | No |
| CYP2B6 | No |
| CYP2C8 | No |
| CYP2C9 | No |
| CYP2C19 | No |
| CYP2D6 | Yes |
| CYP3A4 | No |
| CYP3A5 | No |
| Other phase I enzyme | No |
| UGT1A1 | No |
| TPMT | No |
| Other phase II enzyme | No |
| OATP1B1 | No |
| BCRP | No |
| MDR1 | No |
| Other (please specify) | NAT2 |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Retrospective, when high PK variability or PK outlier observed

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Sometimes

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Never |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | No |
| Dose Adjustment | No |

Q9

Other clin pharm

If Yes to Study design what types of study? All that apply

Q10

External Lab

Where is your ADME PGx testing performed for clinical studies?

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Performance characteristics and experience

Q12

Respondent skipped this question

If genotyping is done in-house, what genotyping platform is used?

Q13 Respondent skipped this question

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Q14 If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

| | |
|-------------------|----------------------------------|
| Known PK property | Candidate gene approaches |
|-------------------|----------------------------------|

Q15 Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16 Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17 No

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18 When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|---------------------------------------|
| Phase I | Yes (Mandatory), No (Optional) |
| Drug interaction studies | No (Optional) |
| Phase II | Yes (Mandatory), No (Optional) |
| Phase III | No (Optional) |
| Phase IV | No (Optional) |

Q19 No

. Has ADME PGx information been used for decision making at your company

Q20 Respondent skipped this question

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21**Respondent skipped this question**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Increased substantially (>50%)**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**Yes**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip ...) in the last five years?

Q24

If yes to 23 specify diagnostic type

COBAS, FISH

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|------------|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | No |
| CLIA (Clinical Laboratory Improvement Amendments) | Yes |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | Yes |
| ISO (International Organization of Standardization) | Yes |

Q26**Always**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**No**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**No**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

What sources are used to determine allele/SNP selection? all that apply

**PharmGKB,
dbSNP,
1000genome,
Literature,
determined by platform**

Q30

What sources are used to determine result interpretation? all that apply

**PharmGKB,
Literature,
Platform specific (eg. DMET Chip)**

Q31

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Yes**Q32**

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

yes

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

659869

Q34

What were the pharmaceutical R&D expenses of your company in 2008?

Less than 1 billion US dollars

#14

INCOMPLETE

Collector: Web Link (Web Link)
Started: Thursday, August 15, 2013 2:41:00 PM
Last Modified: Thursday, October 03, 2013 5:06:44 PM
Time Spent: Over a month
IP Address: 152.51.56.1

Page 2: Questions

Q1 Respondent skipped this question

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

Q2 Respondent skipped this question

How often has your company specified ADME PGx analysis in study protocols?

Q3 Respondent skipped this question

Breadth of genotyping. Please check whether your company currently genotypes each gene.

Q4 Respondent skipped this question

What triggers genotyping? Check all that apply

Q5 Respondent skipped this question

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Q6 Respondent skipped this question

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Q7 Respondent skipped this question

How often has your company performed ADME-related genotyping in:

| | |
|--|---|
| Q8 | Respondent skipped this question |
| Has your company used ADME-related genotype(s) in study design? | |
| Q9 | Respondent skipped this question |
| If Yes to Study design what types of study? All that apply | |
| Q10 | Respondent skipped this question |
| Where is your ADME PGx testing performed for clinical studies? | |
| Q11 | Respondent skipped this question |
| If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....) | |
| Q12 | NextGen sequencing |
| If genotyping is done in-house, what genotyping platform is used? | |
| Q13 | Respondent skipped this question |
| Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...) | |
| Q14 | Respondent skipped this question |
| If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation: | |
| Q15 | Respondent skipped this question |
| Has your company kept/banked DNA beyond the initial period of the clinical trial? | |
| Q16 | Yes |
| Have stored samples been used to address emerging issues during and/or after clinical trial? | |

Q17**No**

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18**Respondent skipped this question**

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

Q19**Respondent skipped this question**

. Has ADME PGx information been used for decision making at your company

Q20**Respondent skipped this question**

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21**PG-PK results have not been used**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Respondent skipped this question**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**Respondent skipped this question**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24**Respondent skipped this question**

If yes to 23 specify diagnostic type

Q25**Respondent skipped this question**

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

Q26 Respondent skipped this question

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27 Respondent skipped this question

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28 Respondent skipped this question

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29 Respondent skipped this question

What sources are used to determine allele/SNP selection?
all that apply

Q30 Respondent skipped this question

What sources are used to determine result interpretation?
all that apply

Q31 Respondent skipped this question

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Q32 Respondent skipped this question

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33 Respondent skipped this question

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

Q34

Respondent skipped this question

What were the pharmaceutical R&D expenses of your company in 2008?

#15

COMPLETE

Collector: Web Link (Web Link)
Started: Thursday, October 03, 2013 9:39:05 PM
Last Modified: Thursday, October 03, 2013 10:11:56 PM
Time Spent: 00:32:50

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Always |
| Multiple rising dose | Always |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Always |
| Dose ranging | Always |
| Pivotal | Sometimes |
| Other | Sometimes |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|---------------------|
| CYP2C9 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| UGT1A1 | Yes |
| Other (please specify) | ABCB1, ABCG2 |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

Retrospective, when high PK variability or PK outlier observed

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Sometimes

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|-----------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Never |
| Other clin pharm | Never |
| Proof of concept | Never |
| Dose ranging | Never |
| Pivotal | Never |
| Other | Never |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|-----|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | No |
| If yes which genes | |
| CYP2D6 | |

Q9 **Drug-drug interaction**

If Yes to Study design what types of study? All that apply

Q10 **External Lab**

Where is your ADME PGx testing performed for clinical studies?

Q11
If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Expertise

Q12 **Respondent skipped this question**

If genotyping is done in-house, what genotyping platform is used?

Q13 **Respondent skipped this question**

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Q14
If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

PK Outlier **Candidate gene approaches, Was it successful?**

Drug-drug interaction **Candidate gene approaches, Was it successful?**

Known PK property **Candidate gene approaches, Was it successful?**

Which platforms were used?

Please elaborate on "unclear PK property"

Q15 **Yes**

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16 **Yes**

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17**No**

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

Phase I

Yes (Mandatory)

Drug interaction studies

Yes (Mandatory)**Q19****Yes**

. Has ADME PGx information been used for decision making at your company

Q20

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Yes

Q21**An unreplicated result based on a known valid biomarker has been used in a regulatory submission**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Stayed the same**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**No**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24**Respondent skipped this question**

If yes to 23 specify diagnostic type

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

Other (please specify)

GCP

Q26**Always**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**No**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

Q28**No**

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29**Literature,
determined by platform**

What sources are used to determine allele/SNP selection? all that apply

Q30**Literature,
Platform specific (eg. DMET Chip)**

What sources are used to determine result interpretation? all that apply

Q31**No**

Have recent FDA and EMA guidances impacted practice of PGx in your company?

Q32**no**

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

401763

Q34

More than 2 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#16

COMPLETE

Collector: Web Link (Web Link)
Started: Friday, October 04, 2013 7:42:50 PM
Last Modified: Friday, October 04, 2013 8:01:52 PM
Time Spent: 00:19:02

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Always |
| Multiple rising dose | Always |
| Drug-drug interaction | Always |
| Special population | Always |
| Other clin pharm | Always |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|---|
| CYP1A2 | Yes |
| CYP2A6 | Yes |
| CYP2B6 | Yes |
| CYP2C8 | Yes |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | Yes |
| UGT1A1 | Yes |
| TPMT | No |
| Other phase II enzyme | Yes |
| OATP1B1 | Yes |
| BCRP | Yes |
| MDR1 | Yes |
| Other (please specify) | The Affymetrix DMET chip is used, although TMPT data are not collected. |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK
,
Standard practice to broadly genotype and collect data across program

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Always

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Always |
| Multiple rising dose | Always |
| Drug-drug interaction | Always |
| Special population | Always |
| Other clin pharm | Usually |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|--|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | No |
| If yes which genes CYP2D6, CYP2C19, UGT1A1, OATP1B1 | |

Q9

If Yes to Study design what types of study? All that apply

**Drug-drug interaction,
Other clin pharm**

Q10

Where is your ADME PGx testing performed for clinical studies?

External Lab

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Overall R&D agreement for that to be done at a specific CRO.

Q12

If genotyping is done in-house, what genotyping platform is used?

Respondent skipped this question

Q13

Respondent skipped this question

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Q14

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

| | |
|--|--|
| PK Outlier | Candidate gene approaches, Hypothesis free approaches, Was it successful? |
| Drug-drug interaction | Candidate gene approaches, Was it successful? |
| Known PK property | Candidate gene approaches, Was it successful? |
| Unclear PK property | Hypothesis free approaches |
| Which platforms were used? TaqMan, Illumina Beadchip, Affy DMET | |

Q15

Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16

Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17

Yes

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18

When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|---------------------------------------|
| Phase I | Yes (Mandatory), No (Optional) |
| Drug interaction studies | Yes (Mandatory) |
| Phase II | Yes (Mandatory) |
| Phase IV | Yes (Mandatory) |

Q19**Yes**

. Has ADME PGx information been used for decision making at your company

Q20

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

CYP2D6, CYP2C19

Q21

If yes to 19, what level of validation of the finding was required? Answer all that apply

An unreplicated result has been used for internal decision making but not in a regulatory submission

,

An unreplicated result based on a known valid biomarker has been used in a regulatory submission

Q22**Increased substantially (>50%)**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**No**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip) in the last five years?

Q24**Respondent skipped this question**

If yes to 23 specify diagnostic type

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

GCLP (Good Clinical Laboratory Practice)

No

GLP (Good Laboratory Practice)

Yes

CLIA (Clinical Laboratory Improvement Amendments)

No

CAP (College of American Pathologists)

No

IFCC (International Federation of Clinical Chemistry and Laboratory Medicine)

No

ISO (International Organization of Standardization)

No

CLSI (Clinical and Laboratory Standards Institute)

No

| | |
|--|--|
| <p>Q26</p> <p>If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes</p> | <p>Always, Comments: GLP quality only</p> |
| <p>Q27</p> <p>Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?</p> | <p>Yes</p> |
| <p>Q28</p> <p>Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?</p> | <p>Yes</p> |
| <p>Q29</p> <p>What sources are used to determine allele/SNP selection? all that apply</p> | <p>PharmGKB, dbSNP, 1000genome, Literature, determined by platform</p> |
| <p>Q30</p> <p>What sources are used to determine result interpretation? all that apply</p> | <p>PharmGKB, Literature, Platform specific (eg. DMET Chip)</p> |
| <p>Q31</p> <p>Have recent FDA and EMA guidances impacted practice of PGx in your company?</p> | <p>No, If Yes, How?: Practices were consistent with these guidances.</p> |
| <p>Q32</p> <p>Have NGS, GWAS or other technologies impacted practice of PGx at your company?</p> | <p>no, If yes, How?: In discussion to move some work to NGS platforms to try to improve quality of results.</p> |

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

105355

Q34

More than 2 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?

#17

COMPLETE

Collector: Web Link (Web Link)
Started: Tuesday, October 08, 2013 8:11:10 PM
Last Modified: Tuesday, October 08, 2013 8:48:00 PM
Time Spent: 00:36:49

Page 2: Questions

Q1

Q1 How often has your company collected DNA with consent for ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Always |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q2**Sometimes**

How often has your company specified ADME PGx analysis in study protocols?

Q3

Breadth of genotyping. Please check whether your company currently genotypes each gene.

| | |
|------------------------|------------------|
| CYP1A2 | Yes |
| CYP2A6 | Yes |
| CYP2B6 | Yes |
| CYP2C8 | Yes |
| CYP2C9 | Yes |
| CYP2C19 | Yes |
| CYP2D6 | Yes |
| CYP3A4 | Yes |
| CYP3A5 | Yes |
| Other phase I enzyme | Yes |
| UGT1A1 | Yes |
| TPMT | No |
| Other phase II enzyme | Yes |
| OATP1B1 | Yes |
| BCRP | No |
| MDR1 | Yes |
| Other (please specify) | DMET Chip, ABCB1 |

Q4

What triggers genotyping? Check all that apply

When preclinical data indicate a role for a specific gene in a compound's PK

,

Other (please specify):

FDA requirement

Q5

During Ph1, the EMA Guidance states that genotyping should be conducted if a polymorphic enzyme constitutes >25% of the overall clearance, and >50% based on in vitro data. Are the EMA thresholds appropriate?

Yes

Q6

How often does your company have a written plan or strategy for a compound in development that includes prospective ADME genotyping during Ph1?

Sometimes

Q7

How often has your company performed ADME-related genotyping in:

| | |
|-----------------------|------------------|
| First in human | Sometimes |
| Multiple rising dose | Sometimes |
| Drug-drug interaction | Sometimes |
| Special population | Sometimes |
| Other clin pharm | Sometimes |
| Proof of concept | Sometimes |
| Dose ranging | Sometimes |
| Pivotal | Sometimes |
| Other | Sometimes |

Q8

Has your company used ADME-related genotype(s) in study design?

| | |
|---------------------|------------|
| Inclusion criterion | Yes |
| Exclusion criterion | Yes |
| Dose Adjustment | No |
| If yes which genes | |
| CYP2C19 | |

Q9

If Yes to Study design what types of study? All that apply

Multiple rising dose,
Other

Q10

Where is your ADME PGx testing performed for clinical studies?

External Lab

Q11

If you use an external vendor what drives the decision? (examples, Laboratory certification, lack of internal resources....)

Lack of internal resources

Q12

If genotyping is done in-house, what genotyping platform is used?

Respondent skipped this question

Q13 Respondent skipped this question

Please state reasons for the choice of your in-house genotyping platform(s) (cost, through-put, ease of use, number of markers...)

Q14 Respondent skipped this question

If ADME PGx is planned to evaluate PK variability, will any specific approach / platform your company be considered for the following situation:

Q15 Yes

Has your company kept/banked DNA beyond the initial period of the clinical trial?

Q16 Yes

Have stored samples been used to address emerging issues during and/or after clinical trial?

Q17 No

Have regulatory authorities requested/suggested additional analysis on stored samples?

Q18 When ADME PGx research has been included in a trial, has it been required for subject enrollment or optional for each subject? (OK to check both boxes in a row)

| | |
|--------------------------|------------------------|
| Phase I | Yes (Mandatory) |
| Drug interaction studies | Yes (Mandatory) |
| Phase II | No (Optional) |
| Phase III | No (Optional) |
| Phase IV | No (Optional) |

Q19 No

. Has ADME PGx information been used for decision making at your company

Q20 Respondent skipped this question

If yes to 19, was it for a previously validated ADME marker (eg CYP2D6) or novel marker

Q21**Respondent skipped this question**

If yes to 19, what level of validation of the finding was required? Answer all that apply

Q22**Increased substantially (>50%)**

How has your company's use of high-throughput genotyping platforms changed in the last five years?

Q23**Yes**

Has your company used an FDA-approved in vitro diagnostic (UGT1A1 kit or CYP2D6/2C19 chip ...) in the last five years?

Q24

If yes to 23 specify diagnostic type

DMET chip

Q25

Does your company apply the following standards for human DNA sample collection and generation of human genotype data that might be used in regulatory submissions?

| | |
|---|------------|
| GCLP (Good Clinical Laboratory Practice) | Yes |
| GLP (Good Laboratory Practice) | Yes |
| CLIA (Clinical Laboratory Improvement Amendments) | Yes |
| CAP (College of American Pathologists) | Yes |
| IFCC (International Federation of Clinical Chemistry and Laboratory Medicine) | No |
| ISO (International Organization of Standardization) | Yes |
| CLSI (Clinical and Laboratory Standards Institute) | Yes |

Q26**Sometimes**

If ADME PGx is used for inclusion/exclusion criteria does your company use a regulated (certified by one of the above agencies) PGx lab to analyze and report ADME genotypes/phenotypes

Q27**No**

Have regulatory authorities requested/suggested sample collections in you clinical development programs during review meetings?

ADME Genotyping Practices

Q28

No

Have regulatory authorities requested/suggested analysis in you clinical development programs during review meetings?

Q29

Other (please specify):

What sources are used to determine allele/SNP selection? all that apply

Other interpretation platform

Q30

Platform specific (eg. DMET Chip)

What sources are used to determine result interpretation? all that apply

Q31

Yes,

Have recent FDA and EMA guidances impacted practice of PGx in your company?

If Yes, How?:

Implemented samples collection per FDA guidance

Q32

no

Have NGS, GWAS or other technologies impacted practice of PGx at your company?

Page 3: About your company

Q33

Please insert the survey code you were given by Covington & Burling (Jenny Green) This code will be used only to assure that each company submits only one response, and to remind companies about submitting the survey. The code key will be maintained only by Covington and Burling (Legal Monitor) and will not be shared with members of the I-PWG.

120970

Q34

1-2 billion US dollars

What were the pharmaceutical R&D expenses of your company in 2008?
